



WAVERLY

ADVISORS

ADV Part 2B, Brochure Supplement

August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209
Markus.sleuwen@waverly-advisors.com
(205) 871-3334

This Brochure Supplement provides information that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Waverly Advisors, LLC is also available on the SEC's website at www.adviserinfo.sec.gov.

Item 1 Cover Page

A.

John “Jack” Randolph Adams, Jr., QPFC

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about John “Jack” Randolph Adams, Jr. that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC’s Brochure or if you have any questions about the contents of this supplement.

Additional information about John “Jack” Randolph Adams, Jr. is available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

John “Jack” Randolph Adams, Jr. was born in 1982. Mr. Adams graduated from the University of Alabama in 2005 with a Bachelor of Science degree in Finance. Mr. Adams has been employed with Waverly Advisors, LLC since October of 2012 and currently serves as Retirement Plan Advisor.

Mr. Adams has been a Qualified Plan Financial Consultant (QPFC) since 2014. QPFC is the professional credential for financial professionals who sell, advise, market or support qualified retirement plans. The QPFC program provides an understanding of general retirement planning concepts, terminology, distinctive features of qualified plans and the role of retirement plan professionals. QPFC is not an entry-level credential. A candidate will be expected to demonstrate a general proficiency of plan administration, compliance, investment, fiduciary, and ethics issues.

Earning ASPPA’s QPFC credential requires successful completion of two specific examinations. In addition to passing the required examinations, a candidate must meet one of the following requirements:

- Series 6, 7 or 65 license issued by the FINRA (formerly NASD) and two letters of reference demonstrating at least two years of retirement plan related experience; or
- State-life or annuity insurance license and two letters of reference demonstrating at least two years of retirement plan related experience; or
- Investment Advisor Representative or Registered Investment Advisor credential and two letters of reference demonstrating at least two years of retirement plan related experience; or
- Two letters of reference demonstrating at least three years of retirement plan related experience

All credentialed members must acquire 40 hours of Continuing Education (CE) credits (2 of these must be Ethics) in a two-year cycle as well as renew ASPPA Membership annually to retain credentials.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Adams may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Adams has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Adams is supervised by Melanie Nichols.

Item 1 Cover Page

A.

Erik James Aspelin, CFP®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Erik James Aspelin that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Erik James Aspelin is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Erik James Aspelin was born in 1968. Mr. Aspelin graduated from Colorado State University in 1990 with a Bachelor of Science in Geology as well as earning the Executive Certificate Financial Planning, at the University of New Mexico in 2010. Mr. Aspelin has been employed with Waverly Advisors, LLC since October of 2023 and currently serves as a Portfolio Manager. From February of 2016 to October of 2023, he was an Investment Advisor Representative at Rainsberger Wealth Advisors, Inc.

Mr. Aspelin is certified for financial planning services in the United States by the Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, he may refer to himself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and he may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirements through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Aspelin may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Aspelin has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Aspelin is supervised by Bud Rainsberger.

Item 1 Cover Page

A.

Laura Turner Baker, CFP®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Laura Turner Baker that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Laura Turner Baker is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Laura Turner Baker was born in 1987. Ms. Baker attended Rhodes College from 2005 to 2007 and then transferred to the University of Georgia, where she graduated with a Bachelor of Science degree in Financial Planning in 2009. Ms. Baker has been employed with Waverly Advisors, LLC since April 2022 and currently serves as a Wealth Advisor. From January 2014 to April 2022, Ms. Baker was employed by Clark Financial Advisors and served as Manager of Client Relations and Chief Compliance Officer.

Ms. Baker is certified for financial planning services in the United States by the Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, she may refer to herself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and she may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirements through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Ms. Baker may receive a bonus based upon clients introduced to the Registrant. Accordingly, Ms. Baker has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Ms. Baker is supervised by Melanie Nichols.

Item 1 Cover Page

A.

Stephen J. Barrett, CFP®, AIF®, APMA®, CPWA®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Stephen J. Barrett that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Stephen J. Barrett is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Stephen J. Barrett was born in 1970. Mr. Barrett graduated from Purdue University with a Bachelor of Science in Financial Planning and Counseling. Mr. Barrett has been employed with Waverly Advisors, LLC since December of 2023 and currently serves as Parter and Wealth Advisor. From 1997 to January 2024, he was Senior Vice President, Private Client Group at StrategIQ.

Mr. Barrett is certified for financial planning services in the United States by the Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, he may refer to himself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and he may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirements through other qualifying credentials.

- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

AIF® - Accredited Investment Fiduciary™

The Center for Fiduciary Studies issues the ACCREDITED INVESTMENT FIDUCIARY, AIF®, designation to those who meet the following requirements:

- Education – One of the following combinations of education, industry experience, and/or professional development is required to meet the experience requirement for the AIF® credential. Relevant experience is that which has been accrued in a non-clerical role within the financial services (or a related) industry.
- Minimum of two (2) years of relevant experience; a bachelor's degree (or higher); and a professional credential.
- Minimum of five (5) years of relevant experience; a bachelor's degree (or higher) or a professional credential.
- Minimum of eight (8) years of relevant experience.

Continuing Education – Continued use of the AIF® designation is subject to ongoing renewal requirements. Every year individuals must renew their right to continue using the AIF® designation by completing 6 hours of continuing education, reaffirming to abide by the Code of Ethics, Conduct Standards, self-disclose any criminal, civil, self-regulatory organization, or governmental agency inquiry, investigation, or proceeding relating to their professional or business conduct; and paying a registration fee.

AWMA® - Accredited Wealth Management Advisor™

The Accredited Wealth Management Advisor, AWMA®, is a professional certification issued by the College for Financial Planning. The certification is granted to individuals who have completed a study program of fifteen (15) modules, including a course of study encompassing wealth strategies, equity-based compensation plans, tax reduction alternatives, and asset protection alternatives that require 120-150 hours of study, and the successful completion of a final exam. This designation is subject to ongoing continuing education which includes a minimum requirement of sixteen (16) hours for every two-year cycle.

CPWA® - Certified Private Wealth Advisor®

The CPWA designation signifies that an individual has met initial and on-going experience, ethical, education, and examination requirements for the professional designation, which is centered on private wealth management topics and strategies for high-net-worth clients. Prerequisites for the CPWA designation are: a Bachelor's degree from an accredited college or university or one of the following designations or licenses: CIMA®, CIMC®, CFA®, CFP®, ChFC®, or CPA license; have an acceptable regulatory history as evidenced by FINRA Form U-4 or other regulatory requirements and five years of experience in financial services or delivering services to high-net-worth clients. CPWA designees have completed a rigorous educational process that includes executive education

requirements and successful completion of a comprehensive examination. CPWA designees are required to adhere to the Investments & Wealth Institute Code of Professional Responsibility and Rules and Guidelines for Use of the Marks. CPWA designees must report 40 hours of continuing education credits, including two ethics hours, every two years to maintain the certification. The designation is administered through the Investments & Wealth Institute.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is a member of IQ Companies, LLC.
- B. The supervised person is a Partner of J.T. Pechin Properties, LLC

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Barrett may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Barrett has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Barrett is supervised by Chad Hassinger.

Item 1 Cover Page

A.

Emmett “Chip” Beard

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Emmett Beard that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC’s Brochure or if you have any questions about the contents of this supplement.

Additional information about Emmett Beard is available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Emmett Beard was born in 1959. Mr. Beard graduated from the University of Arkansas in 1982 with a Bachelor of Science in Business Administration, Finance and with a Master of Business Administration in 1983. Mr. Beard has been employed with Waverly Advisors, LLC since May 2024 and currently serves as Partner and Wealth Advisor. Previously, Mr. Beard was President of Derbend Asset Management.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Beard may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Beard has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client’s best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Beard is supervised by Brian Chustz.

Item 1 Cover Page

A.

Cheryl Benton Bott, CFP®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Cheryl Benton Bott that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Cheryl Benton Bott is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Cheryl Benton Bott was born in 1961. Mrs. Bott has been employed with Waverly Advisors, LLC since November of 2023 and currently serves as a Partner and Wealth Advisor. From January of 2017 to November of 2023, she was a Financial Adviser at Holifield Financial Advisory Services, Inc. DBA Prosper Financial Advisers.

Mrs. Bott is certified for financial planning services in the United States by the Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, she may refer to herself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and she may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirements through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is a co-owner of B&B Commercial Laundry Installation, LLC.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mrs. Bott may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mrs. Bott has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Ms. Bott is supervised by Lee Wall.

Item 1 Cover Page

A.

Elizabeth Lyerly Brasher, CWS®, CDFA®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Elizabeth Lyerly Brasher that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Elizabeth Lyerly Brasher is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Elizabeth Lyerly Brasher was born in 1985. Ms. Brasher graduated from Campbell University in 2009 with both a Bachelor of Science degree and a Master's degree in Business Administration; she later graduated from Samford University, Cumberland School of Law in 2012 with a Juris Doctor degree. Ms. Brasher has been employed with Waverly Advisors, LLC since September 2019 and currently serves as Wealth Advisor. From November 2017 to September 2019, she was employed as a wealth advisor at Oakworth Capital Bank; from March 2016 to November 2017, she was employed as a wealth advisor at Regions Bank; and from August 2012 to March 2016, she was employed as an attorney of Gilpin Givhan PC.

Ms. Brasher has held the Certified Wealth Strategist® (CWS®) designation since 2017. The CWS® certification is an application-focused designation that aligns the needs of financial services firms, advisors, and consumers. The designation is administered through the Cannon Financial Institute. Prerequisites for the CWS® certification are three years of financial services experience that must also include direct interaction with clients and a 4-year degree from an accredited school. To obtain the CWS® certification, candidates must complete two instructor-led training sessions, a self-directed study on numerous wealth management issues, and a capstone project. CWS® designees must report 33 hours of continuing education credits every two years to maintain the certification.

Ms. Brasher holds the Certified Divorce Financial Analyst® certification. The Certified Divorce Financial Analyst® certification is issued by The Institute for Divorce Financial Analysts (IDFA™), which is a national organization dedicated to the certification, education, and promotion of the use of financial professionals in the divorce arena.

Founded in 1993, IDFA™ provides specialized training to accounting, financial, and legal professionals in the field of pre-divorce financial planning. Over the years, IDFA™ has certified more than 5,000 professionals in the U.S. and Canada as Certified Divorce Financial Analyst® certificants. The CDFA® certification is available to individuals who have a minimum of three years of experience as a financial professional, accountant, or matrimonial lawyer.

To acquire the certification, a candidate must successfully pass all exams and be in good standing with their broker dealer (if applicable) and the FINRA/SEC or other licensing or regulatory agency.

There are currently four methods of pursuing the CDFA® certification, all culminating in an examination consisting of 150 multiple-choice questions. To retain the Certified Divorce Financial Analyst® certification, a CDFA® certificant must obtain thirty divorce-related hours of continuing education every two years, remain in good standing with the IDFA™, and keep his/her dues current. To learn more about the CDFA® certification, visit <http://www.institutedfa.com/>.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. **Licensed Attorney.** Ms. Brasher is licensed to practice law in Alabama (2012). In certain, cases, Ms. Brasher may perform legal services for Waverly Advisors, LLC's clients. Waverly Advisors, LLC does not believe a conflict of interest exists in these situations. The legal services are performed as a value-added service to these clients. Ms. Brasher regularly refers clients to other attorneys, based on the relevant issue at hand. No client is under any obligation to utilize the legal services of Ms. Brasher.

Real Estate Broker. Ms. Brasher is a licensed real estate broker with eXp Realty and may recommend the purchase of certain real estate related investments on a commission basis. **Conflict of Interest:** The recommendation by Ms. Brasher that a client purchase a real estate property on a commission basis presents a **conflict of interest**, as the receipt of commissions may provide an incentive to recommend real estate properties based on commissions to be received, rather than on a particular client's need. No client is under any obligation to purchase any real estate properties from Ms. Brasher. Clients are reminded that they may purchase real estate recommended by Ms. Brasher through other, non-affiliated real estate agents.

Item 5 Additional Compensation

In addition to receiving a standard salary, Ms. Brasher may receive a bonus based upon clients introduced to the Registrant. Accordingly, Ms. Brasher has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Ms. Brasher is supervised by Melanie Nichols.

Item 1 Cover Page

A.

Morris Lee Brill, III, AAMS®, AWMA®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Morris Lee Brill, III that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Morris Lee Brill, III is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Morris Lee Brill, III was born in 1965. Mr. Brill graduated from Furman University in 1987 with a Bachelor of Science degree in Political Science. Mr. Brill has been employed with Waverly Advisors, LLC since February of 2014 and currently serves as Wealth Advisor.

Mr. Brill has held the designation of Accredited Asset Management Specialist (AAMS®) since 2007. The AAMS® is awarded by the College for Financial Planning to investment professionals who complete its 12-module AAMS® Professional Education Program, pass an examination, commit to a code of ethics and agree to pursue continuing education. Continued use of the AAMS® designation is subject to ongoing renewal requirements. Every two (2) years the designee must renew their right to continue using the AAMS® designation by completing 16 hours of continuing education and reaffirming to abide by the Standards of Professional Conduct.

Mr. Brill has held the designation of Accredited Wealth Management AdvisorSM ("AWMA®") since 2007. AWMA® is a professional designation administered by the College for Financial Planning. Candidates receive training in investment for retirement, strategies for small business owners, the management of deferred compensation plans, insurance, estate planning, asset protection, and tax reduction issues. Candidates are required to complete a final examination testing these topics. All candidates are subject to ongoing ethics and educational requirements.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Brill may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Brill has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Brill is supervised by Lee Wall.

Item 1 Cover Page

A.

Madeline M. Brown, CFP®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Madeline M. Brown that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Madeline M. Brown is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Madeline M. Brown was born in 1997. Ms. Brown graduated from the University of Illinois at Urbana-Champaign with a Bachelor of Science in Agriculture and Consumer Economics with a Concentration in Financial Planning. Ms. Brown has been employed with Waverly Advisors, LLC since January 2024 and currently serves as a Wealth Advisor. From 2022 to January 2024, she was an Associate Financial Advisor at StrategIQ.

Ms. Brown is certified for financial planning services in the United States by the Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, she may refer to herself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and she may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirements through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any other non-investment-related businesses or occupations.

Item 5 Additional Compensation

In addition to receiving a standard salary, Ms. Brown may receive a bonus based upon clients introduced to the Registrant. Accordingly, Ms. Brown has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Ms. Brown is supervised by Chad Hassinger.

Item 1 Cover Page

A.

Thomas W. Brown

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Thomas Brown that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Thomas Brown is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Thomas Brown was born in 1961. Mr. Brown graduated from Bucknell University in 1983 with a Bachelor of Science degree in Mechanical Engineering. Mr. Brown has been employed with Waverly Advisors, LLC since June of 2023 and currently serves as Wealth Advisor. From October 2012 to June 2023, Mr. Brown was employed by Silicon Hills Wealth Management, LLC and served as Partner and Investment Advisor Representative.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Brown may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Brown has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Brown is supervised by Justin Russell.

Item 1 Cover Page

A.

Brett Michael Brownfield

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Brett Brownfield that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Brett Brownfield is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Brett Brownfield was born in 1998. Mr. Brownfield graduated from Missouri State University in 2019 with a Bachelor of Arts degree in Psychology. Mr. Brownfield has been employed with Waverly Advisors, LLC since October of 2023 and currently serves as Associate Wealth Advisor. From April 2022 to October 2023, Mr. Brownfield was employed by Rainsberger Wealth Advisors, Inc. and served as an Investment Advisor Representative. From March 2019 to April 2022, Mr. Brownfield was employed by Cosmos Corporation and served as an Operations Analyst. From August 2016 to May 2019, Mr. Brownfield was a student at Missouri State University.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Brownfield may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Brownfield has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Brownfield is supervised by Bud Rainsberger.

Item 1 Cover Page

A.

Grant Weston Cardwell, CPA®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Grant Weston Cardwell that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Grant Weston Cardwell is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Grant Weston Cardwell was born in 1984. Mr. Cardwell graduated from Auburn University in 2006 with a Bachelor of Science degree in Accounting, and in 2007 with a Master's in Accounting. Mr. Cardwell has been employed with Waverly Advisors, LLC since April of 2015 and currently serves as a Wealth Advisor.

Mr. Cardwell has held the designation of Certified Public Accountant ("CPA") since 2008. CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college [education](#) (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum [experience](#) levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two-year period or 120 hours over a three-year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous *Code of Professional Conduct* which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's *Code of Professional Conduct* within their state accountancy laws or have created their own. In addition to the *Code of Professional Conduct*, AICPA members who provide personal financial planning services are required to follow the *Statement on Standards in Personal Financial Planning Services* (SSPFPS).

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Cardwell may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Cardwell has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Cardwell is supervised by Melanie Nichols.

Item 1 Cover Page

A.

Edward L. Carlisle, CFP®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Edward L. Carlisle that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Edward L. Carlisle is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Edward L. Carlisle was born in 1972. Mr. Carlisle graduated from Mississippi College, Clinton in 1994 with a Bachelor of Science in Business Administration in Accounting, from Vanderbilt University in Nashville with his Juris Doctor in 1997, and from University of Florida, Gainesville in 2001 with a Master of Laws in Taxation. Mr. Carlisle has been employed with Waverly Advisors, LLC since September of 2023 and currently serves as a Partner and Wealth Advisor. From May of 2006 to September of 2023, he was an Advisor at SoundPath Investment Advisors, LLC.

Mr. Carlisle is certified for financial planning services in the United States by the Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, he may refer to himself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and he may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirements through other qualifying credentials.

- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Carlisle may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Carlisle has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Carlisle is supervised by Julius Ridgway.

Item 1 Cover Page

A.

Sandra Carlson, CFP®, CPA®, CDFA®, QKA

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Sandra Carlson that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Sandra Carlson is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Sandra Carlson was born in 1972. Ms. Carlson graduated from Florida State University with a Bachelor of Science in Finance. Ms. Carlson has been employed with Waverly Advisors, LLC since April 2024 and currently serves as Director of Financial Planning. From August of 2013 to April of 2024, Sandra served as a wealth advisor and President of McShane Partners.

Ms. Carlson is certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, she may refer to herself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and she may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Ms. Carlson holds the designation of Certified Public Accountant ("CPA"). CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college [education](#) (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum [experience](#) levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two-year period or 120 hours over a three-year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous *Code of Professional Conduct* which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's *Code of Professional Conduct* within their state accountancy laws or have created their own. In addition to the *Code of Professional Conduct*, AICPA members who provide personal financial planning services are required to follow the *Statement on Standards in Personal Financial Planning Services* (SSPFPS).

CDFA® - Certified Divorce Financial Analyst™

The CERTIFIED DIVORCE FINANCIAL ANALYST designation is awarded and monitored by The Institute for Divorce Financial Analysts™. The Certified Divorce Financial Analyst program and designation is designed to provide financial advice and assistance to people going through a divorce.

To receive the CDFA™ designation, an individual must successfully complete all courses and modules in the selected program. The courses are designed around four Modules including: The Fundamentals of Divorce, The Financial Issues of Divorce, The Tax Issues of Divorce and Working as a CDFA™ – Case Studies. An exam is required after the completion of each module, with a final exam after the completion of the final module.

An individual with this designation must continue ongoing education to maintain the accreditation by The Institute for Divorce Financial Analysts™.

Ms. Carlson is a Qualified 401(k) Administrator (QKA®). The QKA credential is the leading certification for retirement plan professionals. Earning the QKA credential demonstrates expertise in the duties of a retirement plan administrator and showcases mastery of the two fundamental aspects of 401(k) plan administration: Plan Management and Testing & Compliance. The QKA designation signifies that the individual has advanced knowledge of best practices of 401(k) administration.

To qualify for the QKA® credential individuals must:

- Have either 3 years of experience in retirement plan administration or completed the Retirement Plan Fundamentals (RPF) certificate course
- Pass the QKA-1 Plan Management Credential Exam
- Pass the QKA-2 Testing & Compliance Credential Exam
- Agree to abide by the American Retirement Association (ARA) Code of Professional Conduct
- Apply for the credential

Once candidates have met the qualifications described above, they can apply for the American Society of Pension and Plan Administrators' (ASPPA) QKA credentialed membership. All credentialed members holding the QKA designation must complete 40 hours of Continuing Education (CE) credits (two of which should be Ethics) in a two-year cycle as well as renew ASPPA Membership annually to retain credentials.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in an other non-investment-related businesses or occupations for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Ms. Carlson may receive a bonus based upon clients introduced to the Registrant. Accordingly, Ms. Carlson has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Ms. Carlson is supervised by Daniele Donahoe.

Item 1 Cover Page

A.

Steven Clay Causey

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Steven Clay Causey that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Steven Clay Causey is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Steven Clay Causey was born in 1969. Mr. Causey graduated from Birmingham-Southern College in 1991 with a Bachelor of Science degree in Accounting. Mr. Causey has been employed with Waverly Advisors, LLC since 1999 and currently serves as Wealth and Retirement Plan Advisor.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Causey may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Causey has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Causey is supervised by Justin Russell.

Item 1 Cover Page

A.

Victoria Chatman

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Victoria Chatman that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Victoria Chatman is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Victoria Chatman was born in 2000. Ms. Chatman graduated from the University of Illinois at Urbana-Champaign with a Bachelor of Science in Agriculture and Consumer Economics with a Concentration in Financial Planning. Ms. Chatman has been employed with Waverly Advisors, LLC since January 2024 and currently serves as an Associate Financial Planner. From June 2023 to January 2024, she was a Client Service Associate at StrategIQ.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Ms. Brown may receive a bonus based upon clients introduced to the Registrant. Accordingly, Ms. Brown has a conflict of interest for recommending the Registrant to clients for

investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Ms. Chatman is supervised by Chad Hassinger.

Item 1 Cover Page

A.

Brian Chustz, AAMS®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Brian Chustz, that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Brian Chustz is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Brian Chustz was born in 1979. Mr. Chustz graduated from Louisiana State University in 2004 with a Bachelor of Science degree and in 2009 with his JD. Mr. Chustz has been employed with Waverly Advisors, LLC since May of 2024 and currently serves as Partner and Wealth Advisor. Previously, Mr. Chustz was a Partner and Chief Compliance Officer at Derbend Asset Management.

Mr. Chustz holds the designation of Accredited Asset Management Specialist (AAMS®.) The AAMS® is awarded by the College for Financial Planning to investment professionals who complete its 12-module AAMS® Professional Education Program, pass an examination, commit to a code of ethics and agree to pursue continuing education. Continued use of the AAMS® designation is subject to ongoing renewal requirements. Every two (2) years the designee must renew their right to continue using the AAMS® designation by completing 16 hours of continuing education and reaffirming to abide by the Standards of Professional Conduct.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is an attorney and owner of The Chustz Law Firm and receives compensation. Mr. Chustz's duties as an attorney and owner of The Chustz Law Firm creates a conflict of interest to his provision of advisory services through Waverly Advisors, LLC as clients of Waverly Advisors, LLC may also be clients

of The Chustz Law Firm. If you require legal services, we may recommend that you use the services of The Chustz Law Firm. Clients are required to enter into a separate agreement with The Chustz Law Firm to receive legal services from Mr. Chustz. The services provided by Mr. Chustz through The Chustz Law Firm are separate and distinct from any advisory services provided by Waverly Advisors, LLC. The law firm is otherwise regulated by the professional organizations to which it belongs and must comply with the rules of those organizations.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Chustz may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Chustz has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests. Please refer to the *Other Business Activities* section above for disclosures on Mr. Chustz's receipt of additional compensation as a result of his other business activities.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Chustz is supervised by Scott Craig.

Item 1 Cover Page

A.

Marion Brooks Clark, CFP®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Marion Brooks Clark that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Marion Brooks Clark is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Marion Brooks Clark was born in 1948. Mr. Clark graduated from Western Kentucky University in 1975 with a Bachelor of Science degree in Finance and has also attended banking schools at the University of Virginia, Ball State, and the University of Oklahoma. Mr. Clark has been employed with Waverly Advisors, LLC since April 2022 and currently serves as Wealth Advisor. From November 1983 to June 2022, Mr. Clark was employed with Clark Financial Advisors where he served as Director and Investment Advisor.

Mr. Clark is certified for financial planning services in the United States by the Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, he may refer to himself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and he may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirements through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Clark may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Clark has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Clark is supervised by Melanie Nichols.

Item 1 Cover Page

A.

Nicole M. Clarke, AIF®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Nicole M. Clarke that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Nicole M. Clarke is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Nicole M. Clarke was born in 1982. Ms. Clarke graduated from Purdue University with a Bachelor of Science in Business Management. Ms. Clarke has been employed with Waverly Advisors, LLC since January 2024 and currently serves as Portfolio Accounting Manager. From 2005 to January 2024, she was a Financial Advisor and Financial Planning Department Manager at StrategIQ.

AIF® - Accredited Investment Fiduciary™

The Center for Fiduciary Studies issues the ACCREDITED INVESTMENT FIDUCIARY, AIF®, designation to those who meet the following requirements:

- Education – One of the following combinations of education, industry experience, and/or professional development is required to meet the experience requirement for the AIF® credential. Relevant experience is that which has been accrued in a non-clerical role within the financial services (or a related) industry.
- Minimum of two (2) years of relevant experience; a bachelor's degree (or higher); and a professional credential.
- Minimum of five (5) years of relevant experience; a bachelor's degree (or higher) or a professional credential.
- Minimum of eight (8) years of relevant experience.

Continuing Education – Continued use of the AIF® designation is subject to ongoing renewal requirements. Every year individuals must renew their right to continue using the AIF® designation by completing 6 hours of continuing education, reaffirming to abide by the Code of Ethics, Conduct Standards, self-disclose any criminal,

civil, self-regulatory organization, or governmental agency inquiry, investigation, or proceeding relating to their professional or business conduct; and paying a registration fee.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Ms. Clarke may receive a bonus based upon clients introduced to the Registrant. Accordingly, Ms. Clarke has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Ms. Clarke is supervised by Justin Snell.

Item 1 Cover Page

A.

Dalton Clary, CFP®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Dalton Clary that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Dalton Clary is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Dalton Clary was born in 1999. Mr. Clary graduated from the University of Alabama in 2022 with a Bachelor of Science in Civil Engineering. Mr. Clary has been employed with Waverly Advisors, LLC since May of 2022 and currently serves as a Financial Planner.

Mr. Clary is certified for financial planning services in the United States by the Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, he may refer to himself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and he may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirements through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Clary may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Clary has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Clary is supervised by Melanie Nichols.

Item 1 Cover Page

A.

Kelly Scott Clary

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Kelly Scott Clary that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Kelly Scott Clary is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Kelly Scott Clary was born in 1968. Mr. Clary graduated from Trevecca Nazarene University in 1998 with a Bachelor of Science degree in Management and Human Relations, and from Middle Tennessee State University in 2003 with a Master of Business Administration degree. Mr. Clary has been employed with Waverly Advisors, LLC since February of 2013 and currently serves as Wealth Advisor.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Clary may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Clary has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Clary is supervised by Justin Russell.

Item 1 Cover Page

A.

Colin P. Coine

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Colin P. Coine that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Colin P. Coine is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Colin P. Coine was born in 2000. Mr. Coine graduated from the University of Illinois at Urbana-Champaign with a Bachelor in Science in Agriculture and Consumer Economics with a Concentration in Financial Planning. Mr. Coine has been employed with Waverly Advisors, LLC since January of 2024 and currently serves as an Associate Financial Planner. From 2023 to January 2024, Mr. Coine was a Client Service Advisor at StrategIQ.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Coine may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Coine has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Coine is supervised by Chad Hassinger.

Item 1 Cover Page

A.

Diana Contorno, HDP™

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Diana Contorno that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Diana Contorno is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Diana Contorno was born in 1971. Ms. Contorno graduated from Samford University in 1993 with a Bachelor of Science degree in Business Management. Ms. Contorno has been employed with Waverly Advisors, LLC since March of 2017 and currently serves as Retirement Planning Specialist.

Ms. Contorno has held the designation of Heritage Design Professional™ (HDP) since 2016. The HDP certification is offered by The Heritage Institute through the Heritage Design Professional™ Coaching Program. Heritage Design is the intentional transfer of values through the generations of a family to maintain the family's unity and preserve the financial resources of that family. The certification program is designed to be completed within two years and includes in-person meetings, coaching/mentor calls, a mid-term session group call, and pre- and post-session assignments. Candidates must then pass both written and oral examinations. To maintain the HDP certification, individuals must complete continuing education recognized by The Heritage Institute.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

A. The supervised person is not actively engaged in any other investment-related businesses or occupations.

B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Ms. Contorno may receive a bonus based upon clients introduced to the Registrant. Accordingly, Ms. Contorno has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Ms. Contorno is supervised by Steven Causey.

Item 1 Cover Page

A.

John Brown Cox, Jr., CFA®, CAIA®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about John Brown Cox, Jr. that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about John Brown Cox, Jr. is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

John Brown Cox, Jr. was born in 1970. Mr. Cox graduated from the University of Montevallo in 1992 with a Bachelor of Business Administration degree, and from the University of Alabama in Birmingham with a Master of Business Administration degree. Mr. Cox has been employed with Waverly Advisors, LLC since April of 2017 and currently serves as Chief Investment Officer and Wealth Advisor. From June of 2011 to January of 2018 he was Director of Investments of Kinsight, LLC.

Mr. Cox has been a CFA® Charterholder since September of 2002. CFA® designates an international professional certificate that is offered by the CFA Institute. The Chartered Financial Analyst® (CFA®) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals.

There are currently more than 178,000 CFA® Charterholders working in over 170 countries and regions. To earn the CFA® charter, candidates must: (1) pass three sequential, six-hour examinations; (2) have at least four years of qualified professional investment experience; (3) join CFA Institute as members; and (4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

High Ethical Standards

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA® Charterholders to:

- Place their clients' interests ahead of their own
- Maintain independence and objectivity
- Act with integrity
- Maintain and improve their professional competence
- Disclose conflicts of interest and legal matters

Global Recognition

Passing the three CFA exams is a difficult feat that requires extensive study (successful candidates report spending an average of 300 hours of study per level). Earning the CFA® charter demonstrates mastery of many of the advanced skills needed for investment analysis and decision making in today's quickly evolving global financial industry. As a result, employers and clients are increasingly seeking CFA® Charterholders —often making the charter a prerequisite for employment. Additionally, regulatory bodies in 38 countries/territories recognize the CFA® charter as a proxy for meeting certain licensing requirements, and more than 466 colleges and universities around the world have incorporated a majority of the CFA Program curriculum into their own finance courses.

Comprehensive and Current Knowledge

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

Mr. Cox has held the designation of Chartered Alternative Investment Analyst (CAIA) since September of 2005. The CAIA designation, recognized globally, is administered by the Chartered Alternative Investment Analyst Association and requires a comprehensive understanding of core and advanced concepts regarding alternative investments, structures, and ethical obligations. To qualify for the CAIA designation, finance professionals must complete a self-directed, comprehensive course of study on risk-return attributes of institutional quality alternative assets; pass both the Level I and Level II CAIA examinations at global, proctored testing centers; attest annually to the terms of the Member Agreement; and hold a US bachelor's degree (or equivalent) plus have at least one year of professional experience or have four years of professional experience. Professional experience includes full-time employment in a professional capacity within the regulatory, banking, financial, or related fields. Once a qualified candidate completes the CAIA program, he or she may apply for CAIA membership and the right to use the CAIA designation, providing an opportunity to access ongoing educational opportunities.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Cox may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Cox has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Cox is supervised by Justin Russell.

Item 1 Cover Page

A.

Scott Edward Craig, CFA®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Scott Edward Craig that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Scott Edward Craig is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Scott Edward Craig was born in 1974. Mr. Craig graduated from Furman University in 1996 with a Bachelor of Arts degree in business administration and political science, and from Emory University in 2001 with a Master of Business Administration degree. Mr. Craig has been a Partner and Regional Director of Waverly Advisors, LLC since July 2022. From March 2010 to July 2022, he was the Managing Partner of BT Wealth Management, LLC.

Mr. Craig has been a CFA® Charterholder since 2003. CFA® designates an international professional certificate that is offered by the CFA Institute. The Chartered Financial Analyst® (CFA®) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by the CFA Institute — the largest global association of investment professionals.

There are currently more than 178,000 CFA® Charterholders working in over 170 countries and regions. To earn the CFA® charter, candidates must: (1) pass three sequential, six-hour examinations; (2) have at least four years of qualified professional investment experience; (3) join CFA Institute as members; and (4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

High Ethical Standards

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA® Charterholders to:

- Place their clients' interests ahead of their own
- Maintain independence and objectivity
- Act with integrity
- Maintain and improve their professional competence
- Disclose conflicts of interest and legal matters

Global Recognition

Passing the three CFA exams is a difficult feat that requires extensive study (successful candidates report spending an average of 300 hours of study per level). Earning the CFA® charter demonstrates mastery of many of the advanced skills needed for investment analysis and decision making in today's quickly evolving global financial industry. As a result, employers and clients are increasingly seeking CFA® Charterholders —often making the charter a prerequisite for employment. Additionally, regulatory bodies in 38 countries/territories recognize the CFA® charter as a proxy for meeting certain licensing requirements, and more than 466 colleges and universities around the world have incorporated a majority of the CFA Program curriculum into their own finance courses.

Comprehensive and Current Knowledge

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Craig may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Craig has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Craig is supervised by Justin Russell.

Item 1 Cover Page

A.

Evan Barnett Crouse, CFP®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Evan Barnett Crouse that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Evan Barnett Crouse is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Evan Barnett Crouse was born in 1983. Mr. Crouse graduated from Indiana University in 2016 with a Master's degree in Business Administration, and in 2017 with a Master's degree in Finance. Mr. Crouse has been employed with Waverly Advisors, LLC since January of 2019. He currently serves as Wealth Advisor. From January of 2013 to January of 2019, he was a Financial Analyst of The Boeing Company.

Mr. Crouse is certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, he may refer to himself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and he may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Crouse may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Crouse has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Crouse is supervised by Kelly Clary.

Item 1 Cover Page

A.

Harrison F. DeShazo

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated September 15, 2023

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Harrison DeShazo that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Harrison DeShazo is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Harrison DeShazo was born in 1997. Mr. DeShazo graduated from the University of Arkansas in 2020 with a Bachelor of Science in Marketing. Mr. DeShazo has been employed with Waverly Advisors, LLC since September of 2023 and currently serves as Associate Wealth Advisor. From June 2017 to March 2020, he served as a House Assistant for Zeta Tau Alpha. From June 2019 to July 2020, he served as a Shift Manager for Mamaka Bowls. From August 2020 to March 2022, he served as a Registered Representative for Purshe Kaplan Sterling Investments. From August 2020 to March 2022, he served as an Investment Adviser Representative for Thirvient Advisor Network dba 49 Financial. From March 2022 to September 2023, he served as a Relationship Manager for Fidelity Investments.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupation.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. DeShazo may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. DeShazo has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. DeShazo is supervised by Tom Brown.

Item 1 Cover Page

A.

Thomas Allen DiCesare

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Thomas Allen DiCesare that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Thomas Allen DiCesare is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Thomas Allen DiCesare was born in 1992. Mr. DiCesare graduated from Georgia Southern University in 2014 with a Bachelor of Science degree in accounting, and from the University of Florida in 2015 with a Master of Science degree in real estate. Mr. DiCesare has been a Wealth Advisor and Partner of Waverly Advisors, LLC since December of 2022. From August 2017 to December 2022, Mr. DiCesare was Director of Business Development for Wall Advisors, Inc.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. **Real Estate Broker.** Mr. DiCesare, in his individual capacity, is a licensed real estate broker, and may recommend the purchase of certain real estate related investments on a commission basis. The recommendation by Mr. DiCesare that a client purchase a real estate property on a commission basis presents a **conflict of interest**, as the receipt of commissions may provide an incentive to recommend real estate properties based on commissions to be received, rather than on a particular client's need. No client is under any obligation to purchase any real estate properties from Mr. DiCesare. Clients are reminded that they may purchase real estate recommended by Mr. DiCesare through other, non-affiliated real estate agents.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. DiCesare may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. DiCesare has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. DiCesare is supervised by Lee Wall.

Item 1 Cover Page

A.

Daniele Donahoe, CFA®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Daniele Donahoe that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Daniele Donahoe is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Daniele Donahoe was born in 1975. Ms. Donahoe graduated from the University of North Carolina at Chapel Hill in 1997. Ms. Donahoe has been employed with Waverly Advisors, LLC since April of 2024 and currently serves as Partner, Regional Director, and Chief Equity Strategist as well as sitting on the Investment Committee. From May 2010 to April 2024, Ms. Donahoe was employed by McShane Partners as an owner, Chief Executive Officer, and Chief Investment Officer.

Ms. Donahoe is a CFA® Charterholder. CFA® designates an international professional certificate that is offered by the CFA Institute. The Chartered Financial Analyst® (CFA®) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals.

There are currently more than 178,000 CFA® Charterholders working in over 170 countries and regions. To earn the CFA® charter, candidates must: (1) pass three sequential, six-hour examinations; (2) have at least four years of qualified professional investment experience; (3) join CFA Institute as members; and (4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

High Ethical Standards

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA® Charterholders to:

- Place their clients' interests ahead of their own
- Maintain independence and objectivity
- Act with integrity
- Maintain and improve their professional competence
- Disclose conflicts of interest and legal matters

Global Recognition

Passing the three CFA exams is a difficult feat that requires extensive study (successful candidates report spending an average of 300 hours of study per level). Earning the CFA® charter demonstrates mastery of many of the advanced skills needed for investment analysis and decision making in today's quickly evolving global financial industry. As a result, employers and clients are increasingly seeking CFA® Charterholders —often making the charter a prerequisite for employment. Additionally, regulatory bodies in 38 countries/territories recognize the CFA® charter as a proxy for meeting certain licensing requirements, and more than 466 colleges and universities around the world have incorporated a majority of the CFA Program curriculum into their own finance courses.

Comprehensive and Current Knowledge

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Ms. Donahoe may receive a bonus based upon clients introduced to the Registrant. Accordingly, Ms. Donahoe has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Ms. Donahoe is supervised by Justin Russell.

Item 1 Cover Page

A.

Clifford Weldon Draughn, CIMA®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Clifford Weldon Draughn that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Clifford Weldon Draughn is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Clifford Weldon Draughn was born in 1959. Mr. Draughn graduated from the University of North Carolina-Chapel Hill in 1981 with a Bachelor of Science degree in business administration. Mr. Draughn has been a Wealth Advisor of Waverly Advisors, LLC since July 2022. From July 2015 to July 2022, he was a Partner of BT Wealth Management, LLC.

Mr. Draughn has held the Certified Investment Management Analyst® designation since 1999. The CIMA® certification signifies that an individual has met initial and ongoing experience, ethical, education, and examination requirements for investment management consulting, including advanced investment management theory and application. The designation is administered through the Investments & Wealth Institute®. Prerequisites for the CIMA® certification are three years of financial services experience and an acceptable regulatory history. To obtain the CIMA® certification, candidates must complete an executive education program through a registered education provider and pass a comprehensive certification exam. CIMA® designees are required to adhere to the Investments & Wealth Institute's® Code of Professional Responsibility and the appropriate use of the certification marks. CIMA® designees must report 40 hours of continuing education credits, including two ethics hours, every two years to maintain the certification.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Draughn may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Draughn has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Draughn is supervised by Scott Craig.

Item 1 Cover Page

A.

Heath Ryan Echols, CFP®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Heath Ryan Echols that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Heath Ryan Echols is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Heath Ryan Echols was born in 1974. Mr. Echols graduated from Auburn University in 1996 with a Bachelor of Science degree in Finance, and from the University of Alabama in 2005 with a Master's degree in Financial Planning. Mr. Echols has been employed with Waverly Advisors, LLC since April of 2017 and currently serves as Wealth Advisor and Chief Technology Officer. From June of 2011 to January of 2018, he was employed as Chief Operating Officer of Kinsight, LLC.

Mr. Echols is certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, he may refer to himself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and he may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Echols may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Echols has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Echols is supervised by Justin Snell.

Item 1 Cover Page

A.

Nathan Ellyson, CPA®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Nathan Ellyson that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Nathan Ellyson is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Nathan Ellyson was born in 1986. Mr. Ellyson graduated from Mercer University in 2009 with a Bachelor of Science degree in Accounting, and from Kennesaw State University in 2010 with a Master's in Accounting. Mr. Ellyson has been employed with Waverly Advisors, LLC since May of 2024 and currently serves as a Wealth Advisor. Previously, he was a Financial Advisor at Derbend Asset Management.

Mr. Ellyson holds the designation of Certified Public Accountant ("CPA".) CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college [education](#) (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum [experience](#) levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two-year period or 120 hours over a three-year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous *Code of Professional Conduct* which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's *Code of Professional Conduct* within their state accountancy laws or have created their own. In addition to the *Code of Professional Conduct*, AICPA members who provide personal financial planning services are required to follow the *Statement on Standards in Personal Financial Planning Services* (SSFPSPS).

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Ellyson may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Ellyson has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Ellyson is supervised by Brian Chustz.

Item 1 Cover Page

A.

David B. Fields, CFA®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about David B. Fields that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about David B. Fields is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

David B. Fields was born in 1964. Mr. Fields graduated from the University of Alabama in 1988 with a Bachelor of Business Administration degree, and later from Georgia State University with a Master of Business Administration in 1998. Mr. Fields has been employed with Waverly Advisors, LLC since July of 2023 and currently serves as Wealth Advisor. From July 1998 to July 2023, Mr. Fields was employed by Gwynn Management Co. and served as Portfolio Manager.

Mr. Fields is a CFA® Charterholder. CFA® designates an international professional certificate that is offered by the CFA Institute. The Chartered Financial Analyst® (CFA®) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals.

There are currently more than 178,000 CFA® Charterholders working in over 170 countries and regions. To earn the CFA® charter, candidates must: (1) pass three sequential, six-hour examinations; (2) have at least four years of qualified professional investment experience; (3) join CFA Institute as members; and (4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

High Ethical Standards

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA® Charterholders to:

- Place their clients' interests ahead of their own
- Maintain independence and objectivity
- Act with integrity
- Maintain and improve their professional competence
- Disclose conflicts of interest and legal matters

Global Recognition

Passing the three CFA exams is a difficult feat that requires extensive study (successful candidates report spending an average of 300 hours of study per level). Earning the CFA® charter demonstrates mastery of many of the advanced skills needed for investment analysis and decision making in today's quickly evolving global financial industry. As a result, employers and clients are increasingly seeking CFA® Charterholders —often making the charter a prerequisite for employment. Additionally, regulatory bodies in 38 countries/territories recognize the CFA® charter as a proxy for meeting certain licensing requirements, and more than 466 colleges and universities around the world have incorporated a majority of the CFA Program curriculum into their own finance courses.

Comprehensive and Current Knowledge

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Fields may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Fields has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Fields is supervised by Scott Craig.

Item 1 Cover Page

A.

David McKown Foreman, CFP®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about David McKown Foreman that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about David McKown Foreman is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

David McKown Foreman was born in 1982. Mr. Foreman graduated from the University of Alabama in 2005 with a Bachelor of Science degree in Finance, and in 2007 with a Master's degree in Business Administration. Mr. Foreman has been employed with Waverly Advisors, LLC since March of 2007. He served as Director of Financial Planning from June of 2018 to February of 2021. Mr. Foreman currently serves as Wealth Advisor.

Mr. Foreman is certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, he may refer to himself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and he may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Foreman may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Foreman has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Foreman is supervised by Melanie Nichols.

Item 1 Cover Page

A.

Andrew Aris Gearhart, CFP®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Andrew Aris Gearhart that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Andrew Aris Gearhart is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Andrew Aris Gearhart was born in 1987. Mr. Gearhart graduated from the University of Alabama in 2009 with a Bachelor of Science degree in Banking and Finance. Mr. Gearhart has been employed with Waverly Advisors, LLC since April of 2017 and currently serves as Wealth Advisor.

Mr. Gearhart is certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, he may refer to himself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and he may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. Mr. Gearhart is a Board Member of B Charitable, a non-profit organization and current client of WAAM. Mr. Gearhart may recommend the donor advised fund program to certain clients. Mr. Gearhart receives no compensation for serving on the Board or for recommending the program to clients. Although Mr. Gearhart has no requirement or incentive to recommend B Charitable to clients, the recommendation by Mr. Gearhart that a client invest in the donor advised fund program could present a conflict of interest, as his affiliation could cause him to recommend the donor advised fund program over other investment options that could be more suitable for the client. No client is under any obligation to invest in the donor advised fund of B Charitable.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Gearhart may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Gearhart has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Gearhart is supervised by Melanie Nichols.

Item 1 Cover Page

A.

Gavin P. Gillison, CFP®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Gavin P. Gillison that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Gavin P. Gillison is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Gavin P. Gillison was born in 1989. Mr. Gillison graduated from the University of Alabama in 2012 with a Bachelor of Science degree in Finance, and in 2015 with a Master's degree in Family Financial Planning and Consulting. Mr. Gillison has been employed with Waverly Advisors, LLC since November of 2012 and has served as Director of Financial Planning and Wealth Advisor since February of 2021.

Mr. Gillison is certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, he may refer to himself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and he may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Gillison may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Gillison has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Gillison is supervised by Melanie Nichols.

Item 1 Cover Page

A.

Steven M. Gronceski, CFP®, AIF®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Steven M. Gronceski that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Steven M. Gronceski is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Steven M. Gronceski was born in 1970. Mr. Gronceski graduated from Purdue University with a Bachelor of Science in Financial Management. Mr. Gronceski has been employed with Waverly Advisors, LLC since January of 2024 and currently serves as Parter and Wealth Advisor. From 1998 to January of 2024, he was Vice President of Wealth Management at StrategIQ.

Mr. Gronceski is certified for financial planning services in the United States by the Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, he may refer to himself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and he may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirements through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

AIF® - Accredited Investment Fiduciary™

The Center for Fiduciary Studies issues the ACCREDITED INVESTMENT FIDUCIARY, AIF®, designation to those who meet the following requirements:

- Education – One of the following combinations of education, industry experience, and/or professional development is required to meet the experience requirement for the AIF® credential. Relevant experience is that which has been accrued in a non-clerical role within the financial services (or a related) industry.
- Minimum of two (2) years of relevant experience; a bachelor's degree (or higher); and a professional credential.
- Minimum of five (5) years of relevant experience; a bachelor's degree (or higher) or a professional credential.
- Minimum of eight (8) years of relevant experience.

Continuing Education – Continued use of the AIF® designation is subject to ongoing renewal requirements. Every year individuals must renew their right to continue using the AIF® designation by completing 6 hours of continuing education, reaffirming to abide by the Code of Ethics, Conduct Standards, self-disclose any criminal, civil, self-regulatory organization, or governmental agency inquiry, investigation, or proceeding relating to their professional or business conduct; and paying a registration fee.

Item 3 Disciplinary Information

Disclosures appear on Steven's investment adviser public disclosure with the SEC, but Waverly feels that none of these disclosures are material to your evaluation of Steve in relation to his provision of investment advice to you. Steven's investment adviser public disclosure with the SEC can be accessed at <https://adviserinfo.sec.gov/individual/summary/2466208>.

Item 4 Other Business Activities

- A. The supervised person is a member of IQ Companies, LLC.
- B. The supervised person is a Managing Member of Lake Michigan Properties, LLC and is a Finance Council Member of Notre Dame Church.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Gronceski may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Gronceski has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Gronceski is supervised by Chad Hassinger.

Item 1 Cover Page

A.

Jennifer Brochu Groulx

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Jennifer Brochu Groulx that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Jennifer Brochu Groulx is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Jennifer Brochu Groulx was born in 1976. Ms. Groulx graduated from the University of West Florida in 1999 with a Bachelor of Science degree in Finance. Ms. Groulx has been employed as a Senior Client Service Associate of Waverly Advisors, LLC since April of 2012.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Ms. Groulx may receive a bonus based upon clients introduced to the Registrant. Accordingly, Ms. Groulx has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Ms. Groulx is supervised by Joseph McNair.

Item 1 Cover Page

A.

Nowland B. Gwynn

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Nowland B. Gwynn that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Nowland B. Gwynn is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Nowland B. Gwynn was born in 1958. Mr. Gwynn graduated from Oglethorpe University with a Bachelor of Business Administration degree and later from George Washington University with a Master of Business Administration. Mr. Gwynn has been employed with Waverly Advisors, LLC since July of 2023 and currently serves as Wealth Advisor. From January 1990 to July 2023, Mr. Gwynn was employed by Gwynn Management Co. and served as Partner and Wealth Advisor.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Gwynn may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Gwynn has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Gwynn is supervised by Scott Craig.

Item 1 Cover Page

A.

Chad E. Hassinger, CFP®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Chad E. Hassinger that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Chad E. Hassinger is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Chad E. Hassinger was born in 1970. Mr. Hassinger graduated from Valparaiso University with his Bachelor of Science in Business Administration and attended The College for Financial Planning. Mr. Hassinger has been employed with Waverly Advisors, LLC since December of 2023 and currently serves as a Regional Director and Partner. From 1996 to December of 2023, he was the Chief Executive Officer and a Financial Advisor at StrategIQ.

Mr. Hassinger is certified for financial planning services in the United States by the Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, he may refer to himself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and he may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirements through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is a member and manager of IQ Companies, LLC.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Hassinger may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Hassinger has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Hassinger is supervised by Justin Russell.

Item 1 Cover Page

A.

Jeffrey Paul Helms, CFP®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Jeffrey Paul Helms that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Jeffrey Paul Helms is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Jeffrey Paul Helms was born in 1967. Mr. Helms graduated from the University of West Florida in 1989 with a Bachelor of Arts degree in Accounting, and in 1991 with a Master's degree in Accounting. Mr. Helms has been employed with Waverly Advisors, LLC since April of 2012 and currently serves as Wealth Advisor.

Mr. Helms is certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, he may refer to himself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and he may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Mr. Helms has held the designation of Certified Public Accountant ("CPA") since 1992. CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college [education](#) (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum [experience](#) levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two-year period or 120 hours over a three-year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous *Code of Professional Conduct* which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's *Code of Professional Conduct* within their state accountancy laws or have created their own. In addition to the *Code of Professional Conduct*, AICPA members who provide personal financial planning services are required to follow the *Statement on Standards in Personal Financial Planning Services* (SSPFPS).

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Helms may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Helms has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Helms is supervised by Joseph McNair.

Item 1 Cover Page

A.

Colleen M. Henes, CFP®, E.A., ABFP™

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Colleen M. Henes that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Colleen M. Henes is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Colleen M. Henes was born in 1978. Ms. Henes graduated from the University of Illinois Urbana-Champaign with a Bachelor of Science degree in Psychology and from the DePaul University college of Law with her Juris Doctor. Ms. Henes has been employed with Waverly Advisors, LLC since January 2024 and currently serves as a Partner and Wealth Advisor. From 2017 to January 2024, Ms. Henes was a Financial Advisor at StrategIQ.

Ms. Henes is certified for financial planning services in the United States by the Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, she may refer to herself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and she may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirements through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

E.A. – IRS Enrolled Agent

An enrolled agent is a person who has earned the privilege of representing taxpayers before the Internal Revenue Service by either passing a three-part comprehensive IRS test covering individual and business tax returns, or through experience as a former IRS employee. Enrolled agent status is the highest credential the IRS awards. Individuals who obtain this elite status must adhere to ethical standards and complete 72 hours of continuing education courses every three years.

Accredited Behavioral Finance Professional™ or ABFP™ designation.

The designation requires advisors to complete a course of study encompassing advisors' emotional competencies, client interactions, and financial planning advice through a thorough understanding of psychological explanations for economic behavior and hands-on practice of knowledge.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is a member of IQ Companies, LLC.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Ms. Henes may receive a bonus based upon clients introduced to the Registrant. Accordingly, Ms. Henes has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation

of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Ms. Henes is supervised by Chad Hassinger.

Item 1 Cover Page

A.

Brian Kelly Hershberger, CFA®, CFP®, CPA®, PFS

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Brian Kelly Hershberger that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Brian Kelly Hershberger is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Brian Kelly Hershberger was born in 1966. Mr. Hershberger graduated from the University of Florida in 1989 with both a Bachelor of Science degree and a Master's degree in Accounting. Mr. Hershberger has been employed with Waverly Advisors, LLC since March 2023 and currently serves as a Partner and Wealth Advisor. Mr. Hershberger is also the President of Omni Wealth Advisors, LLC where he has been employed since October 1999.

Mr. Hershberger has been a CFA® Charterholder since 2019. CFA® designates an international professional certificate that is offered by the CFA Institute. The Chartered Financial Analyst® (CFA®) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals.

There are currently more than 178,000 CFA® Charterholders working in over 170 countries and regions. To earn the CFA® charter, candidates must: (1) pass three sequential, six-hour examinations; (2) have at least four years of qualified professional investment experience; (3) join CFA Institute as members; and (4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

High Ethical Standards

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA® Charterholders to:

- Place their clients' interests ahead of their own
- Maintain independence and objectivity
- Act with integrity
- Maintain and improve their professional competence
- Disclose conflicts of interest and legal matters

Global Recognition

Passing the three CFA exams is a difficult feat that requires extensive study (successful candidates report spending an average of 300 hours of study per level). Earning the CFA® charter demonstrates mastery of many of the advanced skills needed for investment analysis and decision making in today's quickly evolving global financial industry. As a result, employers and clients are increasingly seeking CFA® Charterholders —often making the charter a prerequisite for employment. Additionally, regulatory bodies in 38 countries/territories recognize the CFA® charter as a proxy for meeting certain licensing requirements, and more than 466 colleges and universities around the world have incorporated a majority of the CFA Program curriculum into their own finance courses.

Comprehensive and Current Knowledge

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

Mr. Hershberger is certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, he may refer to himself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and he may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Mr. Hershberger has held the designation of Certified Public Accountant (“CPA”) since 1990. CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college [education](#) (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum [experience](#) levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two-year period or 120 hours over a three-year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous *Code of Professional Conduct* which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA’s *Code of Professional Conduct* within their state accountancy laws or have created their own. In addition to the *Code of Professional Conduct*, AICPA members who provide personal financial planning services are required to follow the *Statement on Standards in Personal Financial Planning Services* (SSPFPS).

Mr. Hershberger holds the designation of Personal Financial Specialist (“PFS”). The PFS credential demonstrates that an individual has met the minimum education, experience and testing required of a CPA in addition to a minimum level of expertise in personal financial planning. To attain the PFS credential, a candidate must hold an unrevoked CPA license, certificate, or permit, none of which are in inactive status; fulfill 3,000 hours of personal financial planning business experience; complete 75 hours of personal financial planning CPE credits; pass a comprehensive financial planning exam and be an active member of the AICPA. A PFS credential holder is required to adhere to AICPA’s *Code of Professional Conduct* and the *Statement on Standards in Personal Financial Planning Services*, when providing personal financial planning services. To maintain their PFS credential, the recipient must complete 60 hours of financial planning CPE credits every three years. The PFS credential is administered through the [AICPA](#).

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. **Certified Public Accountant (CPA).** Mr. Hershberger is a Certified Public Accountant of Omni Wealth Advisors, Inc. To the extent that Mr. Hershberger provides accounting and/or tax preparation services to any clients, including clients of Waverly Advisors, LLC, all such services shall be performed by Omni Wealth Advisors, Inc., in its individual professional capacity, independent of Waverly Advisors, LLC; for which services Waverly Advisors, LLC shall not receive any portion of the fees charged by Omni Wealth Advisors, Inc., referral or otherwise. **Conflict of Interest:** The recommendation by Mr. Hershberger that a client elect the accounting services of Omni Wealth Advisors, Inc. presents a **conflict of interest**, as the receipt of fees for accounting services may provide an incentive to recommend such services, rather than recommending such services based upon a particular client’s needs. No client is under any obligation to utilize Mr. Hershberger nor Omni Wealth Advisors, Inc. for accounting services. Clients are reminded that they may elect to obtain accounting services recommended by Mr. Hershberger through other certified public accountants.

Item 5 Additional Compensation

In addition to receiving compensation as a result of his employment with Omni Wealth Advisors, Inc. and a standard salary, Mr. Hershberger may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Hershberger has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Hershberger is supervised by Scott Craig.

Item 1 Cover Page

A.

Rhonda K. Holifield, CFP®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Rhonda K. Holifield that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Rhonda K. Holifield is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Rhonda K. Holifield was born in 1963. Mrs. Holifield graduated from the University of Southern Mississippi in 1985 with a Bachelor's Degree in Journalism and from Louisiana State University in 1988 with her Master of Fine Arts in English and Creative Writing. Mrs. Holifield has been employed with Waverly Advisors, LLC since November of 2023 and currently serves as a Partner and Wealth Advisor. From September 2008 to November of 2023, she was President and Chief Compliance Officer at Holifield Financial Advisory Services, Inc. DBA Prosper Financial Advisers.

Mrs. Holifield is certified for financial planning services in the United States by the Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, she may refer to herself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and she may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirements through other qualifying credentials.

- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any other non-investment-related businesses or occupations.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mrs. Holifield may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mrs. Holifield has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Ms. Holifield is supervised by Lee Wall.

Item 1 Cover Page

A.

Douglas J. Hoover, CFP®, ChFC®, CDFA®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Douglas J. Hoover that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Douglas J. Hoover is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Douglas J. Hoover was born in 1970. Mr. Hoover graduated from Valparaiso University with a Bachelor of Science in Business. Mr. Hoover has been employed with Waverly Advisors, LLC since December of 2023 and currently serves as Partner and Wealth Advisor. From 1996 to December of 2023, he was Vice President of Wealth Management at StrategIQ.

Mr. Hoover is certified for financial planning services in the United States by the Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, he may refer to himself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and he may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirements through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

ChFC® - Chartered Financial Consultant

The Chartered Financial Consultant designation is awarded and monitored by The American College. The Chartered Financial Consultant program and designation is designed for the success to meet the advanced financial needs of individuals, professionals and business owners.

To receive the ChFC® designation, an individual must successfully complete all courses in your selected program, meet experience requirements and ethics standards, and agree to comply with The American College Code of Ethics and Procedures. The courses are designed around in-depth coverage of key financial planning disciplines including insurance, income taxation, retirement planning, investments and estate planning. An individual with this designation must continue ongoing education and ethical standards to maintain the accreditation by The American College.

CDFA® - Certified Divorce Financial Analyst™

The CERTIFIED DIVORCE FINANCIAL ANALYST designation is awarded and monitored by The Institute for Divorce Financial Analysts™. The Certified Divorce Financial Analyst program and designation is designed to provide financial advice and assistance to people going through a divorce.

To receive the CDFA™ designation, an individual must successfully complete all courses and modules in the selected program. The courses are designed around four Modules including: The Fundamentals of Divorce, The Financial Issues of Divorce, The Tax Issues of Divorce and Working as a CDFA™ – Case Studies. An exam is required after the completion of each module, with a final exam after the completion of the final module.

An individual with this designation must continue ongoing education to maintain the accreditation by The Institute for Divorce Financial Analysts™.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is a member of IQ Companies, LLC.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Hoover may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Hoover has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Hoover is supervised by Chad Hassinger.

Item 1 Cover Page

A.

Rebecca Hoover, CFP®, CPA®, CDFA®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Rebecca Hoover that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Rebecca Hoover is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Rebecca Hoover was born in 1970. Ms. Hoover graduated from the University of Florida with a Bachelor of Science and Master of Accountancy. Ms. Hoover has been employed with Waverly Advisors, LLC since April 2024 and currently serves as Partner and Wealth Advisor. From June of 2019 to April of 2024, Rebecca served as a wealth advisor at McShane Partners.

Ms. Hoover is certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, she may refer to herself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and she may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Ms. Hoover holds the designation of Certified Public Accountant ("CPA"). CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college [education](#) (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum [experience](#) levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two-year period or 120 hours over a three-year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous *Code of Professional Conduct* which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's *Code of Professional Conduct* within their state accountancy laws or have created their own. In addition to the *Code of Professional Conduct*, AICPA members who provide personal financial planning services are required to follow the *Statement on Standards in Personal Financial Planning Services* (SSPFPS).

CDFA® - Certified Divorce Financial Analyst™

The CERTIFIED DIVORCE FINANCIAL ANALYST designation is awarded and monitored by The Institute for Divorce Financial Analysts™. The Certified Divorce Financial Analyst program and designation is designed to provide financial advice and assistance to people going through a divorce.

To receive the CDFA™ designation, an individual must successfully complete all courses and modules in the selected program. The courses are designed around four Modules including: The Fundamentals of Divorce, The Financial Issues of Divorce, The Tax Issues of Divorce and Working as a CDFA™ – Case Studies. An exam is required after the completion of each module, with a final exam after the completion of the final module.

An individual with this designation must continue ongoing education to maintain the accreditation by The Institute for Divorce Financial Analysts™.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related business or occupation.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Ms. Hoover may receive a bonus based upon clients introduced to the Registrant. Accordingly, Ms. Hoover has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Ms. Hoover is supervised by Daniele Donahoe.

Item 1 Cover Page

A.

Daniel Hudspeth, CFP®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Daniel Hudspeth that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Daniel Hudspeth is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Daniel Hudspeth was born in 1992. Mr. Hudspeth graduated from Winthrop University with a Bachelor of Science degree in Business Administration. Mr. Hudspeth has been employed with Waverly Advisors, LLC since April of 2024 and serves as Associate Wealth Advisor. From April of 2019 to April of 2024, Daniel served as a wealth associate at McShane Partners.

Mr. Hudspeth is certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, he may refer to himself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and he may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related business or occupation.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Hudspeth may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Hudspeth has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Hudspeth is supervised by Daniele Donahoe.

Item 1 Cover Page

A.

Ashlynn M. Husmann, CFP®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Ashlynn M. Husmann that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Ashlynn M. Husmann is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Ashlynn M. Husmann was born in 1996. Ms. Husmann graduated from Ivy Tech Community College with an Associate of Science in Business Administration and from Purdue University with a Bachelor of Science in Financial Counseling and Planning. Ms. Husmann has been employed with Waverly Advisors, LLC since January 2024 and currently serves as a Wealth Advisor. From 2022 to January 2024, she was an Associate Financial Advisor at StrategIQ.

Ms. Husmann is certified for financial planning services in the United States by the Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, she may refer to herself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and she may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirements through other qualifying credentials.

- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related business or occupation.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Ms. Husmann may receive a bonus based upon clients introduced to the Registrant. Accordingly, Ms. Husmann has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Ms. Husmann is supervised by Chad Hassinger.

Item 1 Cover Page

A.

Sean Lacey, CFP®, CIMA®, CRPC®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Sean Lacey that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Sean Lacey is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Sean Lacey was born in 1991. Mr. Lacey graduated from California State University-Northridge in 2018 with a Bachelor of Science degree in Business with an emphasis on Business Law. Mr. Lacey has been a Wealth Advisor of Waverly Advisors, LLC since August of 2024. From May of 2022 to August of 2024, he was an Associate at T Rowe Price. From January of 2022 to May of 2022, he served as an Investment Advisor Representative at Advised Assets Group, LLC. From January of 2022 to May of 2022, he was a Registered Representative at GWFS Equities, Inc. From August of 2019 to January of 2022, he was a Client Engagement Associate at T Rowe Price.

Mr. Lacey is certified for financial planning services in the United States by the Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, he may refer to himself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and he may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirements through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Mr. Lacey holds the Certified Investment Management Analyst® designation. The CIMA® certification signifies that an individual has met initial and on-going experience, ethical, education, and examination requirements for investment management consulting, including advanced investment management theory and application. The designation is administered through the Investments & Wealth Institute®. Prerequisites for the CIMA® certification are three years of financial services experience and an acceptable regulatory history. To obtain the CIMA® certification, candidates must complete an executive education program through a registered education provider and pass a comprehensive certification exam. CIMA® designees are required to adhere to the Investments & Wealth Institute's® Code of Professional Responsibility and the appropriate use of the certification marks. CIMA® designees must report 40 hours of continuing education credits, including two ethics hours, every two years to maintain the certification.

Mr. Lacey also holds the Chartered Retirement Planning CounselorSM (CRPC®) designation from the College for Financial Planning®, a Kaplan Company. To earn the CRPC® designation, candidates are required to complete the CRPC® program designed for financial professionals and pass the CRPC® examination. The program focuses on clients' pre- and post-retirement needs, as well as issues related to asset management and estate planning. The CRPC® final exam covers an array of topics such as principles and strategies when investing for retirement, designing optimal retirement income streams, and adviser ethics and regulations. The final exam consists of 85 questions and a score of 70% or higher is required to pass the exam. CRPC® professionals are responsible for completing 16 hours of continuing education (CE) credits and paying a renewal fee every two years to meet the renewal requirement and continue using the CRPC® designation.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Lacey may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Lacey has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Lacey is supervised by Ellis D. "Bud" Rainsberger.

Item 1 Cover Page

A.

Benjamin Grey Logan, CFP®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Benjamin Grey Logan that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Benjamin Grey Logan is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Benjamin Grey Logan was born in 1992. Mr. Logan graduated from the University of Georgia in 2016 with a Bachelor of Science degree in financial planning. Mr. Logan has been a Wealth Advisor of Waverly Advisors, LLC since July of 2022. From May 2016 to July 2022, Mr. Logan was a Financial Planner at BT Wealth Management, LLC.

Mr. Logan is certified for financial planning services in the United States by the Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, he may refer to himself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and he may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirements through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

A. The supervised person is not actively engaged in any other investment-related businesses or occupations.

B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Logan may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Logan has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Logan is supervised by Scott Craig.

Item 1 Cover Page

A.

Blake A. Macke

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Blake A. Macke that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Blake A. Macke is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Blake A. Macke was born in 1997. Mr. Macke graduated from Purdue University with a Bachelor in Science in Financial Counseling and Planning. Mr. Macke has been employed with Waverly Advisors, LLC since January of 2024 and currently serves as Wealth Advisor. From 2022 to January 2024, Mr. Macke was a Financial Planner at StrategIQ.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Macke may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Macke has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Macke is supervised by Chad Hassinger.

Item 1 Cover Page

A.

Pamela A. Martin, CFP®, AIF®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Pamela A. Martin that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Pamela A. Martin is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Pamela A. Martin was born in 1969. Ms. Martin graduated from Maranatha Baptist Bible College with a Bachelor of Science. Ms. Martin has been employed with Waverly Advisors, LLC since January 2024 and currently serves as Parter and Wealth Advisor. From 2017 to January 2024, she was a Financial Advisor at StrategIQ.

Ms. Martin is certified for financial planning services in the United States by the Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, she may refer to herself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and she may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirements through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

AIF® - Accredited Investment Fiduciary™

The Center for Fiduciary Studies issues the ACCREDITED INVESTMENT FIDUCIARY, AIF®, designation to those who meet the following requirements:

- Education – One of the following combinations of education, industry experience, and/or professional development is required to meet the experience requirement for the AIF® credential. Relevant experience is that which has been accrued in a non-clerical role within the financial services (or a related) industry.
- Minimum of two (2) years of relevant experience; a bachelor's degree (or higher); and a professional credential.
- Minimum of five (5) years of relevant experience; a bachelor's degree (or higher) or a professional credential.
- Minimum of eight (8) years of relevant experience.

Continuing Education – Continued use of the AIF® designation is subject to ongoing renewal requirements. Every year individuals must renew their right to continue using the AIF® designation by completing 6 hours of continuing education, reaffirming to abide by the Code of Ethics, Conduct Standards, self-disclose any criminal, civil, self-regulatory organization, or governmental agency inquiry, investigation, or proceeding relating to their professional or business conduct; and paying a registration fee.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is a Managing Partner at Keys to Life, LLC., an Advisory Board Member at The Crossing School of Business and Entrepreneurship, an Advisory Board Member at Junior Achievement of Chicago, and a Managing Board Member at Polka Dot Powerhouse.

Item 5 Additional Compensation

In addition to receiving a standard salary, Ms. Martin may receive a bonus based upon clients introduced to the Registrant. Accordingly, Ms. Martin has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Ms. Martin is supervised by Chad Hassinger.

Item 1 Cover Page

A.

Wesley P. Martin

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Wesley P. Martin that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Wesley P. Martin is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Wesley P. Martin was born in 1998. Mr. Martin graduated from Georgia Southern University in 2020 with a Bachelor of Art in Finance and graduated from the University of Georgia in 2024 with a Master of Science in Financial Planning. Mr. Martin has been employed with Waverly Advisors, LLC since July of 2024 as an Associate Wealth Advisor. From August of 2022 to July of 2024, he was an Investment Adviser Representative for River Capital Advisors, LC. From January of 2021 to July 2022, he was a Workplace Planning Associate for Fidelity Investments. From August 2020 to August 2024, he was a graduate student at the University of Georgia.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Martin may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Martin has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Martin is supervised by Robert Simon.

Item 1 Cover Page

A.

Doug McDaniel, CPA, CFP®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Doug McDaniel that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Doug McDaniel is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Doug McDaniel was born in 1960. Mr. McDaniel graduated from the University of Mississippi in 1982 with a Bachelor of Business Administration. Mr. McDaniel has been employed with Waverly Advisors, LLC since March of 2024 and currently serves as a Partner and Wealth Advisor. From 2012 to March of 2024, he was President of EFP Advisors.

Mr. McDaniel has held the designation of Certified Public Accountant ("CPA") since 1984. CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college [education](#) (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum [experience](#) levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two-year period or 120 hours over a three-year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous *Code of Professional Conduct* which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's *Code of Professional Conduct* within their state accountancy laws or have created their own. In addition to the *Code of Professional Conduct*, AICPA members who provide personal financial planning services are required to follow the *Statement on Standards in Personal Financial Planning Services* (SSPFPS).

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirements through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is a licensed insurance representative.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. McDaniel may receive a bonus based upon clients introduced to Waverly. Accordingly, Mr. McDaniel has a conflict of interest for recommending Waverly to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

Waverly provides investment advisory and supervisory services in accordance with Waverly's policies and procedures manual. The primary purpose of Waverly's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). Waverly's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of Waverly's policies and procedures and overseeing the activities of Waverly's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of Waverly have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and

procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding Waverly's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. McDaniel is supervised by Julius Ridgway.

Item 1 Cover Page

A.

William Clay McDaniel, CFA®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about William Clay McDaniel that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about William Clay McDaniel is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

William Clay McDaniel was born in 1980. Mr. McDaniel graduated from the University of Kentucky in 2002 with a Bachelor of Business Administration degree in finance and in 2004 with a Master of Science degree in economics. Mr. McDaniel has been the Chief Investment Officer of Private Markets for Waverly Advisors, LLC since July of 2022. In his previous employment with BT Wealth Management, LLC, Mr. McDaniel served as Director of Research from September of 2015 to January of 2021 and as Chief Investment Officer from January of 2021 to July of 2022.

Mr. McDaniel has been a CFA® Charterholder since 2008. CFA® designates an international professional certificate that is offered by the CFA Institute. The Chartered Financial Analyst® (CFA®) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals.

There are currently more than 178,000 CFA® Charterholders working in over 170 countries and regions. To earn the CFA® charter, candidates must: (1) pass three sequential, six-hour examinations; (2) have at least four years of qualified professional investment experience; (3) join CFA Institute as members; and (4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

High Ethical Standards

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA® Charterholders to:

- Place their clients' interests ahead of their own
- Maintain independence and objectivity
- Act with integrity
- Maintain and improve their professional competence
- Disclose conflicts of interest and legal matters

Global Recognition

Passing the three CFA exams is a difficult feat that requires extensive study (successful candidates report spending an average of 300 hours of study per level). Earning the CFA® charter demonstrates mastery of many of the advanced skills needed for investment analysis and decision making in today's quickly evolving global financial industry. As a result, employers and clients are increasingly seeking CFA® Charterholders —often making the charter a prerequisite for employment. Additionally, regulatory bodies in 38 countries/territories recognize the CFA® charter as a proxy for meeting certain licensing requirements, and more than 466 colleges and universities around the world have incorporated a majority of the CFA Program curriculum into their own finance courses.

Comprehensive and Current Knowledge

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. McDaniel may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. McDaniel has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. McDaniel is supervised by Justin Russell.

Item 1 Cover Page

A.

Christopher James McGarvey, CFP®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Christopher James McGarvey that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Christopher James McGarvey is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Christopher James McGarvey was born in 1992. Mr. McGarvey graduated from Southeastern University in 2014 with a Bachelor of Science degree in finance. Mr. McGarvey has been a Wealth Advisor and Partner of Waverly Advisors, LLC since December of 2022. Mr. McGarvey was an investment adviser representative of Wall Advisors, Inc. from March 2016 to December 2022.

Mr. McGarvey is certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, he may refer to himself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and he may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. McGarvey may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. McGarvey has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. McGarvey is supervised by Lee Wall.

Item 1 Cover Page

A.

William “Billy” J. McMahon, QKA®, CPFA®, CRPC®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about William “Billy” J. McMahon that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC’s Brochure or if you have any questions about the contents of this supplement.

Additional information about William “Billy” J. McMahon is available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

William “Billy” J. McMahon was born in 1992. Mr. McMahon graduated from Birmingham Southern College in 2015 with a Bachelor of Science degree in business administration. Mr. McMahon has been employed by Waverly Advisors, LLC since May of 2015 and is currently a Retirement Services Liaison.

Mr. McMahon holds the Qualified 401(k) Administrator (QKA®) credential. The QKA® credential is awarded by the American Society of Pension Professionals & Actuaries (ASPPA). Prerequisites for the credential are three years’ experience in the retirement field or completion of ASPPA’s Retirement Plan Fundamentals course. Candidates may apply for ASPAA credentialed membership after completing the QKA® program. The QKA® program consists of Plan Management and Testing & Compliance, each comprising nine courses, a practice test and a credential exam. All ASPPA credentialed members must acquire 40 hours of continuing education credits (two of which must be ethics) within a two-year cycle and must renew their ASPPA membership annually.

Mr. McMahon is also a Certified Plan Fiduciary Advisor (CPFA®), which is a financial professional that demonstrates expertise and experience working with retirement plans, including 401(k)s, 403(b)s, and other defined contribution plans. The CPFA® credential was developed by some of the nation’s leading advisors and retirement plan experts and is awarded by the National Association of Plan Advisors (NAPA). During the CPFA® certification, candidates spend around two months learning about fiduciary services for retirement plans.

To achieve a CPFA® certification, candidates must pass the NAPA CPFA® examination. The CPFA® exam covers an array of topics including fiduciary roles and responsibilities, fiduciary oversight, plan investment management, and plan management. All exams are conducted at Prometric Testing Centers around the U.S. These exams ensure that the candidates can retain the information and can apply it to situations their clients may face.

After a candidate passes the CPFA® exam, they will receive their CPFA® credential. To maintain the credential, all CPFAs must complete the continuing education requirements earning 10 credits every year, one of which must be on ethics.

Mr. McMahon also holds the Chartered Retirement Planning CounselorSM (CRPC®) designation from the College for Financial Planning®, a Kaplan Company. To earn the CRPC® designation, candidates are required to complete the CRPC® program designed for financial professionals and pass the CRPC® examination. The program focuses on clients' pre- and post-retirement needs, as well as issues related to asset management and estate planning. The CRPC® final exam covers an array of topics such as principles and strategies when investing for retirement, designing optimal retirement income streams, and adviser ethics and regulations. The final exam consists of 85 questions and a score of 70% or higher is required to pass the exam. CRPC® professionals are responsible for completing 16 hours of continuing education (CE) credits and paying a renewal fee every two years to meet the renewal requirement and continue using the CRPC® designation.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. McMahon may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. McMahon has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. McMahon is supervised by Steven Causey.

Item 1 Cover Page

A.

Joseph Ross McNair, CFP®, CPA®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Joseph Ross McNair that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Joseph Ross McNair is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Joseph Ross McNair was born in 1982. Mr. McNair graduated from Lagrange College in 2004 with a Bachelor of Science degree in Accountancy, and from Georgia State University in 2008 with both a Master's degree in Business Administration and a Juris Doctor degree. Mr. McNair has been employed with Waverly Advisors, LLC since July of 2012 and currently serves as Wealth Advisor.

Mr. McNair is certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, he may refer to himself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and he may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Mr. McNair has held the designation of Certified Public Accountant ("CPA") since 2012. CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college [education](#) (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum [experience](#) levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two-year period or 120 hours over a three-year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous *Code of Professional Conduct* which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's *Code of Professional Conduct* within their state accountancy laws or have created their own. In addition to the *Code of Professional Conduct*, AICPA members who provide personal financial planning services are required to follow the *Statement on Standards in Personal Financial Planning Services* (SSPFPS).

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- B. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- C. **Licensed Attorney.** Mr. McNair is licensed to practice law in Georgia (2009) and Florida (2011). In certain cases, Mr. McNair may perform legal services for Waverly Advisors, LLC's clients. Waverly Advisors, LLC does not believe a conflict of interest exists in these situations. The legal services are performed as a value-added service to these clients. Mr. McNair regularly refers clients to other attorneys, based on the relevant issue at hand. No client is under any obligation to utilize the legal services of Mr. McNair.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. McNair may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. McNair has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. McNair is supervised by Justin Russell.

Item 1 Cover Page

A.

Kashish Meghani

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Kashish Meghani that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Kashish Meghani is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Kashish Meghani was born in 1997. Ms. Meghani graduated from the Vanita Vishram Women's College of Commerce with a Bachelor of Commerce, from KP College of Commerce with a Master of Commerce and from Northern Illinois University with a Master of Finance. Ms. Meghani has been employed with Waverly Advisors, LLC since January 2024 and currently serves as an Investment Analyst. From August 2021 to January 2024, she was a Trader and Financial Analyst at StrategIQ.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

A. The supervised person is not actively engaged in any other investment-related businesses or occupations.

B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Ms. Meghani may receive a bonus based upon clients introduced to the Registrant. Accordingly, Ms. Meghani has a conflict of interest for recommending the Registrant to clients for

investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Ms. Meghani is supervised by Brad Rathe.

Item 1 Cover Page

A.

Corey Meyer

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Corey Meyer that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Corey Meyer is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Corey Meyer was born in 1991. Mr. Meyer graduated from Hampden-Sydney College where he majored in Business and Economics. Mr. Meyer has been employed with Waverly Advisors, LLC since April of 2024 and serves as Associate Financial Planner. From April of 2019 to April of 2024, Daniel served as Operations Manager at McShane Partners.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

A. The supervised person is not actively engaged in any other investment-related businesses or occupations.

B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Meyer may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. DiCesare has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Meyer is supervised by Daniele Donahoe.

Item 1 Cover Page

A.

Joshua Glenn Miller, CFA®, CPA®, CIPM®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Joshua Glenn Miller that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Joshua Glenn Miller is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Joshua Glenn Miller was born in 1987. Mr. Miller graduated from the University of West Florida in 2007 with a Bachelor of Science degree in Business Administration, focused in Accounting and Finance. Mr. Miller has been employed with Waverly Advisors, LLC since April of 2012 and currently serves as Senior Investment Analyst.

Mr. Miller has been a CFA® Charterholder since 2015. CFA® designates an international professional certificate that is offered by the CFA Institute. The Chartered Financial Analyst® (CFA®) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals.

There are currently more than 178,000 CFA® Charterholders working in over 170 countries and regions. To earn the CFA® charter, candidates must: (1) pass three sequential, six-hour examinations; (2) have at least four years of qualified professional investment experience; (3) join CFA Institute as members; and (4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

High Ethical Standards

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA® Charterholders to:

- Place their clients' interests ahead of their own
- Maintain independence and objectivity
- Act with integrity
- Maintain and improve their professional competence
- Disclose conflicts of interest and legal matters

Global Recognition

Passing the three CFA exams is a difficult feat that requires extensive study (successful candidates report spending an average of 300 hours of study per level). Earning the CFA® charter demonstrates mastery of many of the advanced skills needed for investment analysis and decision making in today's quickly evolving global financial industry. As a result, employers and clients are increasingly seeking CFA® Charterholders —often making the charter a prerequisite for employment. Additionally, regulatory bodies in 38 countries/territories recognize the CFA® charter as a proxy for meeting certain licensing requirements, and more than 466 colleges and universities around the world have incorporated a majority of the CFA Program curriculum into their own finance courses.

Comprehensive and Current Knowledge

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

Mr. Miller has held the designation of Certified Public Accountant ("CPA") since 2010. CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college [education](#) (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum [experience](#) levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two-year period or 120 hours over a three-year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous *Code of Professional Conduct* which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's *Code of Professional Conduct* within their state accountancy laws or have created their own. In addition to the *Code of Professional Conduct*, AICPA members who provide personal financial planning services are required to follow the *Statement on Standards in Personal Financial Planning Services* (SSPFPS).

Mr. Miller has held the Certificate in Investment Performance Measurement™ (CIPM®) designation since 2013. The CIPM designation is awarded by the CFA Institute. The CIPM program develops and tests the performance evaluation and presentation expertise of investment professionals. The two-level CIPM program is based on a self-directed curriculum that allows candidates to study and earn the credential while staying fully employed. To earn the certificate, a candidate must enroll and pass two exams, become a regular member of the CIPM Association, and meet professional experience requirements.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Miller may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Miller has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Miller is supervised by Brad Rathe.

Item 1 Cover Page

A.

Glenda Marie Molina, CFP®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Glenda Marie Molina that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Glenda Marie Molina is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Glenda Marie Molina was born in 1986. Ms. Molina graduated from Texas State University in 2009 with a Bachelor of Science degree in Business Administration. Ms. Molina has been employed with Waverly Advisors, LLC since June of 2023. Ms. Molina currently serves as a Wealth Advisor. From January 2013 to June 2023, Ms. Molina was employed by Silicon Hills Wealth Management, LLC and served as Wealth Management Associate.

Ms. Molina is certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, she may refer to herself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and she may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Ms. Molina may receive a bonus based upon clients introduced to the Registrant. Accordingly, Ms. Molina has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Ms. Molina is supervised by Tom Brown.

Item 1 Cover Page

A.

Doug P. Muenzenmay, CFA®, CFP®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Doug P. Muenzenmay that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Doug P. Muenzenmay is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Doug P. Muenzenmay was born in 1967. Mr. Muenzenmay graduated from the University of Iowa in 2000 with a Bachelor of Arts degree in Economics, and from Mississippi College, Clinton in 2007 with a Master of Business Administration degree. Mr. Muenzenmay has been employed with Waverly Advisors, LLC since September of 2023 and currently serves as a Partner, co-Regional Director of Mississippi, and Wealth Advisor. From April of 2010 to September of 2023, he was an Adviser with SoundPath Investment Advisors, LLC.

Mr. Muenzenmay has been a CFA® Charterholder since 1999. CFA® designates an international professional certificate that is offered by the CFA Institute. The Chartered Financial Analyst® (CFA®) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals.

There are currently more than 178,000 CFA® Charterholders working in over 170 countries and regions. To earn the CFA® charter, candidates must: (1) pass three sequential, six-hour examinations; (2) have at least four years of qualified professional investment experience; (3) join CFA Institute as members; and (4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

High Ethical Standards

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA® Charterholders to:

- Place their clients' interests ahead of their own
- Maintain independence and objectivity
- Act with integrity
- Maintain and improve their professional competence
- Disclose conflicts of interest and legal matters

Global Recognition

Passing the three CFA exams is a difficult feat that requires extensive study (successful candidates report spending an average of 300 hours of study per level). Earning the CFA® charter demonstrates mastery of many of the advanced skills needed for investment analysis and decision making in today's quickly evolving global financial industry. As a result, employers and clients are increasingly seeking CFA® Charterholders —often making the charter a prerequisite for employment. Additionally, regulatory bodies in 38 countries/territories recognize the CFA® charter as a proxy for meeting certain licensing requirements, and more than 466 colleges and universities around the world have incorporated a majority of the CFA Program curriculum into their own finance courses.

Comprehensive and Current Knowledge

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

Mr. Muenzenmay is certified for financial planning services in the United States by the Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, he may refer to himself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and he may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirements through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Muenzenmay may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Muenzenmay has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Muenzenmay is supervised by Julius Ridgway.

Item 1 Cover Page

A.

Teresa Roberts Munro, CFP®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Teresa Roberts Munro that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Teresa Roberts Munro is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Teresa Roberts Munro was born in 1982. Ms. Munro graduated from Birmingham-Southern College in 2003 with a Bachelor of Arts degree in French and international studies, and from Boston University in 2011 with a Certificate in Financial Planning. Ms. Munro has been a Wealth Advisor of Waverly Advisors, LLC since July of 2022. From December of 2013 to July of 2022, Ms. Munro was an Investment Advisor of BT Wealth Management, LLC.

Ms. Munro is certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, she may refer to herself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and she may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

A. The supervised person is not actively engaged in any other investment-related businesses or occupations.

B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Ms. Munro may receive a bonus based upon clients introduced to the Registrant. Accordingly, Ms. Munro has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Ms. Munro is supervised by Scott Craig.

Item 1 Cover Page

A.

Aaron Michael Nelson

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Aaron Michael Nelson that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Aaron Michael Nelson is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Aaron Michael Nelson was born in 1978. Mr. Nelson graduated from the University of Georgia in 2001 with a Bachelor of Science degree in Mathematics. Mr. Nelson has been employed with Waverly Advisors, LLC since May of 2015 and serves as Wealth Advisor. From February of 2016 to January of 2022, he was employed with Emerant Wealth, LLC as Wealth Advisor. From August of 2009 to May of 2015, he was employed as President and CCO of AMN Consulting, LLC.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Nelson may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Nelson has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Nelson is supervised by Melanie Nichols.

Item 1 Cover Page

A.

Melanie Luther Nichols, CFA®, CFP®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Melanie Luther Nichols that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Melanie Luther Nichols is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Melanie Luther Nichols was born in 1966. Ms. Nichols graduated from Birmingham Southern College in 1988 with a Bachelor of Arts degree in International Economics, and from the University of Alabama in 1992 with a Master's degree in Business Administration. Ms. Nichols has been employed with Waverly Advisors, LLC since November of 2011 and currently serves as Wealth Advisor.

Ms. Nichols has been a CFA® Charterholder since 1997. CFA® designates an international professional certificate that is offered by the CFA Institute. The Chartered Financial Analyst® (CFA®) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals.

There are currently more than 178,000 CFA® Charterholders working in over 170 countries and regions. To earn the CFA® charter, candidates must: (1) pass three sequential, six-hour examinations; (2) have at least four years of qualified professional investment experience; (3) join CFA Institute as members; and (4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

High Ethical Standards

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA® Charterholders to:

- Place their clients' interests ahead of their own
- Maintain independence and objectivity
- Act with integrity
- Maintain and improve their professional competence
- Disclose conflicts of interest and legal matters

Global Recognition

Passing the three CFA exams is a difficult feat that requires extensive study (successful candidates report spending an average of 300 hours of study per level). Earning the CFA® charter demonstrates mastery of many of the advanced skills needed for investment analysis and decision making in today's quickly evolving global financial industry. As a result, employers and clients are increasingly seeking CFA® Charterholders —often making the charter a prerequisite for employment. Additionally, regulatory bodies in 38 countries/territories recognize the CFA® charter as a proxy for meeting certain licensing requirements, and more than 466 colleges and universities around the world have incorporated a majority of the CFA Program curriculum into their own finance courses.

Comprehensive and Current Knowledge

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

Ms. Nichols is certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, she may refer to herself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and she may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Ms. Nichols may receive a bonus based upon clients introduced to the Registrant. Accordingly, Ms. Nichols has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Ms. Nichols is supervised by Justin Russell.

Item 1 Cover Page

A.

Jonathan Richard Osborne, QKA®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Jonathan Richard Osborne that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Jonathan Richard Osborne is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Jonathan Richard Osborne was born in 1986. Mr. Osborne graduated from the University of Alabama in 2008 with a Bachelor of Science degree in Commerce & Business Administration and graduated from the University of Alabama in Birmingham in 2013 with a Master's degree in Business Administration. Mr. Osborne has been employed with Waverly Advisors, LLC since August of 2014 and currently serves as Retirement Plan Advisor.

Mr. Osborne has been a Qualified 401(k) Administrator (QKA®) since 2012. The QKA credential is the leading certification for retirement plan professionals. Earning the QKA credential demonstrates expertise in the duties of a retirement plan administrator and showcases mastery of the two fundamental aspects of 401(k) plan administration: Plan Management and Testing & Compliance. The QKA designation signifies that the individual has advanced knowledge of best practices of 401(k) administration.

To qualify for the QKA® credential individuals must:

- Have either 3 years of experience in retirement plan administration or completed the Retirement Plan Fundamentals (RPF) certificate course
- Pass the QKA-1 Plan Management Credential Exam
- Pass the QKA-2 Testing & Compliance Credential Exam
- Agree to abide by the American Retirement Association (ARA) Code of Professional Conduct
- Apply for the credential

Once candidates have met the qualifications described above, they can apply for the American Society of Pension and Plan Administrators' (ASPPA) QKA credentialed membership. All credentialed members holding the QKA designation must complete 40 hours of Continuing Education (CE) credits (two of which should be Ethics) in a two-year cycle as well as renew ASPPA Membership annually to retain credentials.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Osborne may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Osborne has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Osborne is supervised by Steven Causey.

Item 1 Cover Page

A.

Katia Muriel Palza

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Katia Muriel Palza that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Katia Muriel Palza is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Katia Muriel Palza was born in 1989. Ms. Palza graduated from Baylor University in 2011 with a Bachelor of Arts degree in Biology. Ms. Palza has been employed with Waverly Advisors, LLC since June of 2023 and currently serves as a Senior Client Service Associate. From September 2013 to June 2023, Ms. Palza was employed by Silicon Hills Wealth Management, LLC and served as Client Operations Specialist.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Ms. Palza may receive a bonus based upon clients introduced to the Registrant. Accordingly, Ms. Palza has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Ms. Palza is supervised by Tom Brown.

Item 1 Cover Page

A.

Nevan Piyush Patel

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Nevan Patel that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Nevan Patal is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Nevan Patel was born in 2002. Mr. Patel graduated from the University of South Carolina in 2024. Mr. Patel has been employed with Waverly Advisors, LLC since July of 2024 and currently serves as Associate Investment Analyst. From August of 2020 to May of 2024, he was a full-time student at the University of South Carolina. From June of 2020 to January of 2023, he served as a Warehouse Associate for Maileg USA. From August 2019 to May of 2020, he was a full-time student at Alan C. Pope High School.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

A. The supervised person is not actively engaged in any other investment-related businesses or occupations.

B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Patel may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Patel has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Patel is supervised by Brad Rathe.

Item 1 Cover Page

A.

J. Thomas Pechin

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about J. Thomas Pechin that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about J. Thomas Pechin is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

J. Thomas Pechin was born in 1994. Mr. Pechin graduated from the Purdue University Krannert School of Management with a Bachelor of Business Administration in Economics. Mr. Pechin has been employed with Waverly Advisors, LLC since January of 2024 and currently serves as an Investment Analyst. From 2022 to January 2024, Mr. Pechin was a Senior Trader and Investment Advisor at StrategIQ.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

A. The supervised person is not actively engaged in any other investment-related businesses or occupations.

B. The supervised person is a Partner at J.T. Pechin Properties, LLC.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Pechin may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Pechin has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Pechin is supervised by Brad Rathe.

Item 1 Cover Page

A.

Ellis D. Rainsberger

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Ellis Rainsberger that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Ellis Rainsberger is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Ellis Rainsberger was born in 1956. Mr. Rainsberger graduated from Kansas State University with a Bachelor's degree in Accounting. Mr. Rainsberger has been employed with Waverly Advisors, LLC since October of 2023 and currently serves as a Partner, Wealth Advisor, and Regional Director for the Denver and Colorado Springs regions. From 1990 to October 2023, Mr. Rainsberger owned Rainsberger Wealth Advisors, Inc.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

A. The supervised person is not actively engaged in any other investment-related businesses or occupations.

B. Ellis Rainsberger is a Partner at Eagle Mountain Holdings.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Rainsberger may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Rainsberger has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Rainsberger is supervised by Justin Russell.

Item 1 Cover Page

A.

Bradley J. Rathe, AIF®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Bradley J. Rathe that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Bradley J. Rathe is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Bradley J. Rathe was born in 1967. Mr. Rathe graduated from the Iowa State University with a Bachelor of Science degree in Business. Mr. Rathe has been employed with Waverly Advisors, LLC since December of 2023 and serves as Senior Director of Portfolio Management. From 2012 to December of 2023 he served as Chief Investment Officer and Financial Advisor at StrategIQ.

AIF® - Accredited Investment Fiduciary™

The Center for Fiduciary Studies issues the ACCREDITED INVESTMENT FIDUCIARY, AIF®, designation to those who meet

the following requirements:

Education – One of the following combinations of education, industry experience, and/or professional development is required to meet the experience requirement for the AIF® credential. Relevant experience is that which has been accrued in a non-clerical role within the financial services (or a related) industry.

- Minimum of two (2) years of relevant experience; a bachelor's degree (or higher); and a professional credential.
- Minimum of five (5) years of relevant experience; a bachelor's degree (or higher) or a professional credential.
- Minimum of eight (8) years of relevant experience.

Continuing Education – Continued use of the AIF® designation is subject to ongoing renewal requirements. Every year individuals must renew their right to continue using the AIF® designation by completing 6 hours of continuing education, reaffirming to abide by the Code of Ethics, Conduct Standards, self-disclose any criminal, civil, selfregulatory organization, or governmental agency inquiry, investigation, or proceeding relating to their professional or business conduct; and paying a registration fee.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is a member of IQ Companies, LLC.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Rathe may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Rathe has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Rathe is supervised by Justin Snell.

Item 1 Cover Page

A.

Christopher J. Register, CFP®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Christopher J. Register that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Christopher J. Register is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Christopher J. Register was born in 1977. Mr. Register attended Jackson State University where he graduated with a Bachelor of Science in Finance in 2001. Mr. Register has been employed with Waverly Advisors, LLC since March of 2024 and currently serves as Partner and Wealth Advisor. From 2012 to March 2024, Mr. Register was employed by EFP Advisors and served as Vice President and Chief Compliance Officer.

Mr. Register is certified for financial planning services in the United States by the Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, he may refer to himself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and he may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirements through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is a licensed insurance agent.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Register may receive a bonus based upon clients introduced to Waverly. Accordingly, Mr. Register has a conflict of interest for recommending Waverly to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

Waverly provides investment advisory and supervisory services in accordance with Waverly's policies and procedures manual. The primary purpose of Waverly's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). Waverly's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of Waverly's policies and procedures and overseeing the activities of Waverly's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of Waverly have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding Waverly's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Register is supervised by Julius Ridgway.

Item 1 Cover Page

A.

Joshua Layne Reidinger

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Joshua Layne Reidinger that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Joshua Layne Reidinger is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Joshua Layne Reidinger was born in 1975. Mr. Reidinger graduated from Samford University in 1997 with a Bachelor of Science degree in Business Administration, and from the University of Alabama in 1998 with a Master's degree in Accounting. Mr. Reidinger has been employed with Waverly Advisors, LLC since 2000 and currently serves as Chief Executive Officer.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Reidinger may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Reidinger has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Item 1 Cover Page

A.

Christian Resendiz

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Christian Resendiz that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Christian Resendiz is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Christian Resendiz was born in 2000. Mr. Resendiz graduated from the University of Illinois at Urbana-Champaign with a Bachelor of Science in Agriculture and Consumer Economics with a Concentration in Financial Planning. Mr. Resendiz has been employed with Waverly Advisors, LLC since January of 2024 and currently serves as an Associate Financial Planner. From 2023 to January 2024, Mr. Resendiz was a Client Service Advisor at StrategIQ.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Resendiz may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Resendiz has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Resendiz is supervised by Chad Hassinger.

Item 1 Cover Page

A.

Julius M. Ridgway, Jr., CFA®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Julius M. Ridgway, Jr. that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Julius M. Ridgway, Jr. is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Julius M. Ridgway, Jr. was born in 1966. Mr. Ridgway graduated from the University of Mississippi, Oxford in 1990 with a Bachelor of Arts degree in History, from Millsaps College, Else School of Management with a Master of Business Administration degree in 1993, and from London School of Economics with a Master's in Science in International Accounting and Finance in 1998. Mr. Ridgway has been employed with Waverly Advisors, LLC since September of 2023 and currently serves as a Partner, co-Regional Director of Mississippi and a Wealth Advisor. From July of 2002 to September of 2023, he was an Adviser at SoundPath Investment Advisers.

Mr. Ridgway has been a CFA® Charterholder since 2003. CFA® designates an international professional certificate that is offered by the CFA Institute. The Chartered Financial Analyst® (CFA®) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals.

There are currently more than 178,000 CFA® Charterholders working in over 170 countries and regions. To earn the CFA® charter, candidates must: (1) pass three sequential, six-hour examinations; (2) have at least four years of qualified professional investment experience; (3) join CFA Institute as members; and (4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

High Ethical Standards

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA® Charterholders to:

- Place their clients' interests ahead of their own
- Maintain independence and objectivity
- Act with integrity
- Maintain and improve their professional competence
- Disclose conflicts of interest and legal matters

Global Recognition

Passing the three CFA exams is a difficult feat that requires extensive study (successful candidates report spending an average of 300 hours of study per level). Earning the CFA® charter demonstrates mastery of many of the advanced skills needed for investment analysis and decision making in today's quickly evolving global financial industry. As a result, employers and clients are increasingly seeking CFA® Charterholders —often making the charter a prerequisite for employment. Additionally, regulatory bodies in 38 countries/territories recognize the CFA® charter as a proxy for meeting certain licensing requirements, and more than 466 colleges and universities around the world have incorporated a majority of the CFA Program curriculum into their own finance courses.

Comprehensive and Current Knowledge

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Ridgway may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Ridgway has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Ridgway is supervised by Justin Russell.

Item 1 Cover Page

A.

Melynda Sue Rodgers, CFP®, CLU®, ChSNC®, CLTC®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Melynda Sue Rodgers that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Melynda Sue Rodgers is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Melynda Sue Rodgers was born in 1968. Ms. Rodgers graduated from Michigan State University in 1992 with a Bachelor of Science. Ms. Rodgers has been employed with Waverly Advisors, LLC since July of 2024 and currently serves as a Wealth Advisor. From February of 2024 to July of 2024, she was employed as an Investment Adviser Representative with River Capital Advisors, LC. From November 2022 to January 2024, she was employed as a Director of Wealth Management Services with Gries Financial, LLC. From June of 2022 to November of 2022, she was employed as a Registered Representative with Wells Fargo Clearing Services, LLC. From September of 2021 to April of 2022, she was employed as a Registered Representative with Ameriprise Financial Services, LLC. From December of 2018 to April of 2021, she was employed as an Insurance Agent/Owner with Angioi Insurance Network.

Ms. Rodgers is certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, she may refer to herself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and she may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Ms. Rodgers also holds the Chartered Life Underwriter (CLU®) designation, which offers an in-depth understanding of the practical, legal, and ethical aspects of life insurance and its technique and tools. To receive this designation, you must complete five (5) required courses and agree to comply with The American College Code of Ethics and Procedures. Participation in the annual Professional Recertification Program (PRP) is required to maintain this designation.

Ms. Rodgers also holds the Chartered Special Needs Consultant (ChSNC®) designation, which equips you with the specialized skill and advanced knowledge to champion for special needs individuals with honesty, ethics, and compassion. To receive this designation, you must complete three (3) required courses, agree to comply with The American College Code of Ethics and Procedures, and have at least five (5) years of professional experience in financial services or the practice of law (with a focus on income tax and/or estate planning) OR have four (4) years of relevant professional financial services experience and an undergraduate/degree from a regionally accredited institution. Participation in the annual Professional Recertification Program (PRP) is required to maintain this designation.

Ms. Rodgers also holds the Certification for Long-Term Care (CLTC®) designation, which is primarily focused on the tools of providing care over a prolonged period of time. A professional with a CLTC designation has acquired the critical tools necessary to discuss the subject of longevity and its acute consequences on a client's family – financially, physically and emotionally – years down the road. To receive this designation, you will need to pass the 100-question multiple choice online exam.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- A. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Ms. Rodgers may receive a bonus based upon clients introduced to the Registrant. Accordingly, Ms. Rodgers has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Ms. Rodgers is supervised by Robert Simon.

Item 1 Cover Page

A.

Anneliese Ernestine Roemer

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Anneliese Ernestine Roemer that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Anneliese Ernestine Roemer is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Anneliese Ernestine Roemer was born in 1958. Ms. Roemer graduated from The Art Center of Albuquerque, New Mexico in 1993 with a Certificate of Completion. Ms. Roemer has been employed with Waverly Advisors, LLC since April of 2012 and serves as Senior Client Service Associate.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- B. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- C. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Ms. Roemer may receive a bonus based upon clients introduced to the Registrant. Accordingly, Ms. Roemer has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Ms. Roemer is supervised by Joseph McNair.

Item 1 Cover Page

A.

Justin Thomas Russell, CIMA®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Justin Thomas Russell that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Justin Thomas Russell is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Justin Thomas Russell was born in 1980. Mr. Russell graduated from Auburn University in 2003 with a Bachelor of Science degree in Finance. Mr. Russell has been employed with Waverly Advisors, LLC since 2006 and currently serves as President and Chief Operating Officer.

Mr. Russell has held the Certified Investment Management Analyst® designation since 2009. The CIMA® certification signifies that an individual has met initial and on-going experience, ethical, education, and examination requirements for investment management consulting, including advanced investment management theory and application. The designation is administered through the Investments & Wealth Institute®. Prerequisites for the CIMA® certification are three years of financial services experience and an acceptable regulatory history. To obtain the CIMA® certification, candidates must complete an executive education program through a registered education provider and pass a comprehensive certification exam. CIMA® designees are required to adhere to the Investments & Wealth Institute's® Code of Professional Responsibility and the appropriate use of the certification marks. CIMA® designees must report 40 hours of continuing education credits, including two ethics hours, every two years to maintain the certification.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Russell may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Russell has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Russell is supervised by Josh Reidinger.

Item 1 Cover Page

A.

Jennifer S. Ryan, CFP®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Jennifer S. Ryan that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Jennifer S. Ryan is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Jennifer S. Ryan was born in 1996. Ms. Martin graduated from Purdue University with a Bachelor of Science in financial Counseling and Planning. Ms. Ryan has been employed with Waverly Advisors, LLC since January 2024 and currently serves as Wealth Advisor. From 2022 to January 2024, she was a Financial Advisor at StrategIQ.

Ms. Ryan is certified for financial planning services in the United States by the Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, she may refer to herself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and she may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirements through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any other non-investment-related businesses or occupations.

Item 5 Additional Compensation

In addition to receiving a standard salary, Ms. Ryan may receive a bonus based upon clients introduced to the Registrant. Accordingly, Ms. Ryan has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Ms. Ryan is supervised by Chad Hassinger.

Item 1 Cover Page

A.

Marc Douglas Salyers, CFP®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Marc Douglas Salyers that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Marc Douglas Salyers is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Marc Douglas Salyers was born in 1979. Mr. Salyers graduated from Samford University in 2015 with a Bachelor of Science degree in business administration. Mr. Salyers has been a Wealth Advisor and Partner of Waverly Advisors, LLC since December of 2022. From June 2017 to December 2022, Mr. Salyers was a Portfolio Manager of Sandifer Wealth Management, Inc.

Mr. Salyers is certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, he may refer to himself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and he may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Salyers may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Salyers has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Salyers is supervised by Joseph McNair.

Item 1 Cover Page

A.

James Edward Sandifer, CFP®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about James Edward Sandifer that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about James Edward Sandifer is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

James Edward Sandifer was born in 1952. Mr. Sandifer graduated from the University of Alabama with a Bachelor of Science degree in finance. Mr. Sandifer has been a Wealth Advisor and Partner of Waverly Advisors, LLC since December of 2022. From April 2013 to December 2022, Mr. Sandifer was the President and Chief Compliance Officer of Sandifer Wealth Management, Inc.

Mr. Sandifer is certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, he may refer to himself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and he may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Sandifer may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Sandifer has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Sandifer is supervised by Joseph McNair.

Item 1 Cover Page

A.

Jeremy D. Schares, CFP®, CRPC®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Jeremy D. Schares that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Jeremy D. Schares is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Jeremy D. Schares was born in 1984. Mr. Schares graduated from Valparaiso University with a Bachelor of Science in Business Administration. Mr. Schares has been employed with Waverly Advisors, LLC since January of 2024 and currently serves as Parter and Wealth Advisor. From 2018 to January of 2024, he was Vice President, Wealth Management Services at StrategIQ.

Mr. Schares is certified for financial planning services in the United States by the Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, he may refer to himself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and he may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirements through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

CRPC® - Chartered Retirement Planning Counselor™

The College for Financial Planning® awards the CHARTERED RETIREMENT PLANNING COUNSELOR™, CRPC®, designation to those who meet the following requirements:

- **Education** – Complete course of study in subject areas that include The Retirement Planning Process & Meeting Multiple Financial Objectives, Sources of Retirement Income, Personal Savings, Investing for Retirement, Employer-Sponsored Plans, Individual Deferred Compensation, Planning for Incapacity, Disability & Long-Term Care, When to Retire, Retirement Plan Distributions, Asset Management & Investment Strategy During Retirement, Income Taxes & the Retiree, Estate Planning, and Retirement Cash Flow Considerations.
- **Examination** – Pass a final examination;
- **Ethics** – Comply with the Code of Ethics, which includes agreeing to abide by the Standards of Professional Conduct and Terms and Conditions. Conferment of the designation is contingent upon the College for Financial Planning's review of matters either self-disclosed or which are discovered by the College that are required to be disclosed; and
- **Continuing Education** – Continued use of the CRPC® designation is subject to ongoing renewal requirements. Every two years, individuals must renew their right to continue using the CRPC® designation by completing 16 hours of continuing education, reaffirming to abide by the Standards of Professional Conduct, Terms and Conditions, and self-disclose any criminal, civil, self-regulatory organization, or governmental agency inquiry, investigation, or proceeding relating to their professional or business conduct; and paying a registration fee.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is a member of IQ Companies, LLC.
- B. The supervised person is not actively engaged in any other non-investment-related businesses or occupations.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Schares may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Schares has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Schares is supervised by Chad Hassinger.

Item 1 Cover Page

A.

Preston C. Sharpe, AAMS®, CFP®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Preston Sharpe that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Preston Sharpe is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Preston Sharpe was born in 1984. Mr. Sharpe graduated from Georgia Southern University in 2006 with a Bachelor of Science in Early Childhood Education. Mr. Sharpe has been employed with Waverly Advisors, LLC since May of 2024 and currently serves as Wealth Advisor. Previously, Mr. Sharpe was a Financial Advisor at Derbend Asset Management.

Mr. Sharpe holds the designation of Accredited Asset Management Specialist (AAMS®.) The AAMS® is awarded by the College for Financial Planning to investment professionals who complete its 12-module AAMS® Professional Education Program, pass an examination, commit to a code of ethics and agree to pursue continuing education. Continued use of the AAMS® designation is subject to ongoing renewal requirements. Every two (2) years the designee must renew their right to continue using the AAMS® designation by completing 16 hours of continuing education and reaffirming to abide by the Standards of Professional Conduct.

Mr. Sharpe is certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, he may refer to himself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and he may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The

coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any other non-investment-related businesses or occupations.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Sharpe may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Sharpe has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334. Mr. Sharpe is supervised by Brian Chustz.

Item 1 Cover Page

A.

Robert M. Simon, CFP®, AEP®, CAP®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Robert M. Simon. that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Robert M. Simon is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Robert M. Simon was born in 1982. Mr. Simon graduated from the University of North Carolina at Charlotte in 2004 with a Bachelor of Arts degree in Finance. Mr. Simon graduated from the American College in 2023 a Master of Science in Financial Planning. Mr. Simon has been employed with Waverly Advisors, LLC since July of 2024 and currently serves as Partner and Wealth Advisor. From May 2011 to July 2024, Mr. Simon was employed by River Capital Advisors, LP as a President and Partner.

Mr. Simon is certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, he may refer to himself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and he may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Mr. Simon also holds the Accredited Estate Planner (AEP®) designation, which is a graduate level accreditation in estate planning awarded by the National Association of Estate Planners & Councils (NAEPC). It is awarded only to estate planning professionals who meet special requirements of education, experience, knowledge, professional reputation, and character. To qualify for the AEP® designation, applicants must hold one or more of the following designations or degrees in active status and good standing: Attorneys (JD), Chartered Life Underwriter®, Chartered Financial Analyst, Certified Public Accountant, Certified Trust and Financial Advisor, Certified Private Wealth Advisor®, Chartered Financial Consultant®, Certified Specialist in Planned Giving, Master of Sciences in Financial Services, or CERTIFIED FINANCIAL PLANNER™. Applicants must also have a minimum of 5 years of experience in estate planning, devote at least a third of their time to estate planning, provide three professional references, and have a current membership in an affiliated local estate planning council. Applicants with between 5 and 15 years of estate planning experience must complete two graduate-level courses administered by The American College of Financial Services or from another accredited graduate program. To remain qualified as an AEP®, designation holders must satisfy a minimum of thirty (30) hours of continuing education during the previous twenty-four (24) months, of which at least fifteen (15) hours must have been in estate planning. Annually, designation holders must re-certify their commitment to meeting all AEP® requirements.

Mr. Simon also holds the Chartered Advisor in Philanthropy (CAP®) designation, which is a graduate level program that provides the specialized knowledge and tools you need to help clients articulate and advance their highest aspirations for self, family, and society. To receive this designation, you must complete three (3) courses and agree to comply with The American College Code of Ethics and Procedures. Participation in the annual Professional Recertification Program (PRP) is required to maintain this designation.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Simon may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Simon has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Simon is supervised by Melanie Nichols.

Item 1 Cover Page

A.

Markus R. F. Sleuwen, IACCP®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Markus R. F. Sleuwen that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Markus R. F. Sleuwen is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Markus R. F. Sleuwen was born in 1968. Mr. Nelson graduated from Valparaiso University with a Bachelor of Science degree in Business Administration and from Saint Louis University School of Law with his Juris Doctor. Mr. Sleuwen has been employed with Waverly Advisors, LLC since December 2023 and serves as Chief Compliance Officer and Partner. From 2018 to December of 2023, he was employed with StrategIQ as the Executive Vice President, Chief Compliance Officer, and General Counsel and Secretary.

IACCP® – Investment Adviser Certified Compliance Professional®

The NRS Investment Adviser Certified Compliance Professional® (IACCP®) designation is awarded to knowledgeable, experienced individuals who complete an instructor-led program of in-person and/or online study, pass a certifying examination, and meet its work experience, ethics and continuing education requirements. This designation is cosponsored by National Regulatory Services (NRS) and the Investment Adviser Association (IAA). The designation signifies substantial knowledge of investment adviser regulation and compliance best practices, and adherence to nationally recognized professional standards and ethical leadership. The IACCP® Professional Program coursework consists of 17 required compliance courses, 3 electives, minimum of 2 years of work experience in investment adviser compliance, submission of the Ethics commitment and Ethics assessment, and the IACCP® certifying examination. Exhaustive certification and course development, together with expert instructors and facilitators from the compliance, legal, regulatory, financial industry, and academic sectors, help ensure that individuals earning the IACCP designation have been trained, tested and certified to meet high industry professional standards. IACCP® designees are subject to continuing educational requirements in order to maintain their designation.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related business or occupation.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Nelson may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Nelson has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Item 1 Cover Page

A.

Bradley M. Steinman, CFP®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Bradley M. Steinman that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Bradley M. Steinman is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Bradley M. Steinman was born in 1988. Mr. Steinman graduated from Indiana University with a Bachelor of Science in Business and from Lewis and Clark Law School with his Juris Doctor. Mr. Steinman has been employed with Waverly Advisors, LLC since January 2024 and currently serves as Wealth Advisor. From 2021 to January 2024, Mr. Steinman was an Associate Financial Advisor at StrategIQ.

Mr. Steinman is certified for financial planning services in the United States by the Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, he may refer to himself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and he may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirements through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

A. The supervised person is not actively engaged in any other investment-related business or occupation.

B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Steinman may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Steinman has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Steinman is supervised by Chad Hassinger.

Item 1 Cover Page

A.

William Orlando Stone

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

A.

This Brochure Supplement provides information about William Orlando Stone that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about William Orlando Stone is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

William Orlando Stone was born in 1956. Mr. Stone graduated from Mississippi State University in 1978 with a Bachelor of Science degree in Business Administration. Mr. Stone has been employed with Waverly Advisors, LLC since March of 2024 and serves as Partner and Wealth Advisor. From 2014 to March of 2024, he was employed with EFP Advisors as an Investment Adviser Representative.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

A. The supervised person is a registered representative with Purshe Kaplan Sterling Investments.

B. The supervised person is a licensed insurance agent.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Stone may receive a bonus based upon clients introduced to Waverly. Accordingly, Mr. Stone has a conflict of interest for recommending Waverly to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

Waverly provides investment advisory and supervisory services in accordance with Waverly's policies and procedures manual. The primary purpose of Waverly's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). Waverly's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of Waverly's policies and procedures and overseeing the activities of Waverly's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of Waverly have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding Waverly's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Stone is supervised by Julius Ridgway.

Item 1 Cover Page

A.

Nicholas Sturgis

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Nicholas Sturgis that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Nicholas Sturgis is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Nicholas Sturgis was born in 1992. Mr. Sturgis graduated from the University of Rhode Island in 2014 with a Bachelor of Business Administration in Finance. Mr. Sturgis has been employed with Waverly Advisors, LLC since September of 2023 and currently serves as Associate Wealth Advisor. From May 2017 to January 2020, he served as an Internal Sales Consultant for John Hancock. From June 2017 to January 2020, he served as a Registered Representative for John Hancock Distributions, LLC. From February 2020 to October 2022, he served as a Regional Advisor Consultant for Invesco. From October 2022 to September 2023, he served as an Associate Wealth Advisor for Paces Ferry Wealth Advisors.

Mr. Sturgis has been a CFA® Charterholder since 2003. CFA® designates an international professional certificate that is offered by the CFA Institute. The Chartered Financial Analyst® (CFA®) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals.

There are currently more than 178,000 CFA® Charterholders working in over 170 countries and regions. To earn the CFA® charter, candidates must: (1) pass three sequential, six-hour examinations; (2) have at least four years of qualified professional investment experience; (3) join CFA Institute as members; and (4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

High Ethical Standards

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA® Charterholders to:

- Place their clients' interests ahead of their own
- Maintain independence and objectivity
- Act with integrity
- Maintain and improve their professional competence
- Disclose conflicts of interest and legal matters

Global Recognition

Passing the three CFA exams is a difficult feat that requires extensive study (successful candidates report spending an average of 300 hours of study per level). Earning the CFA® charter demonstrates mastery of many of the advanced skills needed for investment analysis and decision making in today's quickly evolving global financial industry. As a result, employers and clients are increasingly seeking CFA® Charterholders —often making the charter a prerequisite for employment. Additionally, regulatory bodies in 38 countries/territories recognize the CFA® charter as a proxy for meeting certain licensing requirements, and more than 466 colleges and universities around the world have incorporated a majority of the CFA Program curriculum into their own finance courses.

Comprehensive and Current Knowledge

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

A. The supervised person is not actively engaged in any other investment-related businesses or occupations.

B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Sturgis may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Sturgis has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Sturgis is supervised by Scott Craig.

Item 1 Cover Page

A.

Malinda Sutton

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Malinda Sutton that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Malinda Sutton is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Malinda Sutton was born in 1976. Ms. Sutton graduated from Calvin University in 1999 with a Bachelor of Arts degree in Psychology, from the University of Denver with a Juris Doctorate Law in 2002, and from the University of Colorado in 2007 with a Master of Business Administration in Business and Management. Ms. Sutton has been employed with Waverly Advisors, LLC since October of 2023 and currently serves as a Partner and Wealth Advisor at Waverly in Colorado Springs. From July of 2013 to October of 2023, Ms. Sutton was employed by Rainsberger Wealth Advisors and served as COO and Investment Advisor Representative.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

A. The supervised person is not actively engaged in any other investment-related businesses or occupations.

B. Malinda Sutton is a lawyer.

Item 5 Additional Compensation

In addition to receiving a standard salary, Ms. Sutton may receive a bonus based upon clients introduced to the Registrant. Accordingly, Ms. Sutton has a conflict of interest for recommending the Registrant to clients for

investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Ms. Sutton is supervised by Bud Rainsberger.

Item 1 Cover Page

C.

Irby J. Thompson, Sr., CFP®, AEP®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

D.

This Brochure Supplement provides information about Irby J. Thompson, Sr. that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Irby J. Thompson, Sr. is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Irby J. Thompson, Sr. was born in 1953. Mr. Thompson graduated from the University of Alabama in 1976 with a Bachelor of Arts degree in Finance and Risk Management. Mr. Thompson has been employed with Waverly Advisors, LLC since June of 2023 and currently serves as Partner and Wealth Advisor. From July 2020 to June 2023, Mr. Thompson was employed by Raymond James & Associates as an Investment Advisor Representative. From January 2020 to July 2020, Mr. Thompson was employed by RFG Advisory, LLC as a Financial Advisor. From April 2012 to January 2020, Mr. Thompson was employed by Warren Averett Asset Management, LLC, now Waverly Advisors, LLC, as an Investment Advisor Representative.

Mr. Thompson is certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, he may refer to himself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and he may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan

development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Mr. Thompson also holds the Accredited Estate Planner (AEP®) designation, which is a graduate level accreditation in estate planning awarded by the National Association of Estate Planners & Councils (NAEPC). It is awarded only to estate planning professionals who meet special requirements of education, experience, knowledge, professional reputation, and character. To qualify for the AEP® designation, applicants must hold one or more of the following designations or degrees in active status and good standing: Attorneys (JD), Chartered Life Underwriter®, Chartered Financial Analyst, Certified Public Accountant, Certified Trust and Financial Advisor, Certified Private Wealth Advisor®, Chartered Financial Consultant®, Certified Specialist in Planned Giving, Master of Sciences in Financial Services, or CERTIFIED FINANCIAL PLANNER™. Applicants must also have a minimum of 5 years of experience in estate planning, devote at least a third of their time to estate planning, provide three professional references, and have a current membership in an affiliated local estate planning council. Applicants with between 5 and 15 years of estate planning experience must complete two graduate-level courses administered by The American College of Financial Services or from another accredited graduate program. To remain qualified as an AEP®, designation holders must satisfy a minimum of thirty (30) hours of continuing education during the previous twenty-four (24) months, of which at least fifteen (15) hours must have been in estate planning. Annually, designation holders must re-certify their commitment to meeting all AEP® requirements.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- C. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- D. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Thompson may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Thompson has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Thompson is supervised by Joseph McNair.

Item 1 Cover Page

A.

Leigh Ann Thrash

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Leigh Ann Thrash that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Leigh Ann Thrash is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Leigh Ann Thrash was born in 1969. Ms. Thrash graduated from the University of Montevallo in 1990 with a Bachelor of Science degree in Social Science. Ms. Thrash has been employed with Waverly Advisors, LLC since April of 2022 and currently serves as Office Manager and Senior Client Service Associate. From October of 2016 to June of 2022, she was employed by Clark Financial Advisors and worked in Operations.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

A. The supervised person is not actively engaged in any other investment-related businesses or occupations.

B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Ms. Thrash may receive a bonus based upon clients introduced to the Registrant. Accordingly, Ms. Thrash has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Ms. Thrash is supervised by Melanie Nichols.

Item 1 Cover Page

A.

Lorri Tomlin, FPQP™

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Lorri Tomlin that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Lorri Tomlin is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Lorri Tomlin was born in 1958. Ms. Tomlin attended UNC Chapel Hill and Queens University. Ms. Tomlin has been employed with Waverly since April of 2024 and currently serves as Partner and Wealth Advisor. Lorri served as a Wealth Advisor at McShane partners from October 2011 to April 2024.

FINANCIAL PARAPLANNER QUALIFIED PROFESSIONAL™ (FPQP™)

The Financial Paraplanner Qualified Professional™ (FPQP™) designation program covers the six main facets in personal financial planning in a way better suited to practical rather than professional application.

All FPQP™ designation holders are responsible for completing 16 hours of Continuing Education ("CE") credits every two years.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Ms. Tomlin may receive a bonus based upon clients introduced to the Registrant. Accordingly, Ms. Tomlin has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Ms. Tomlin is supervised by Daniele Donahoe.

Item 1 Cover Page

C.

Ashley Diann Tucker, CFP®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

D.

This Brochure Supplement provides information about Ashley Diann Tucker that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Ashley Diann Tucker is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Ashley Diann Tucker was born in 1988. Ms. Tucker graduated from the University of Florida in 2010 with a Bachelor of Science degree in Advertising. Ms. Tucker has been employed with Waverly Advisors, LLC since March of 2016 and currently serves as Director of Client Experience. From October of 2011 to March of 2016, she was employed as a regional marketing manager with Warren Averett, LLC.

Ms. Tucker is certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, she may refer to herself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and she may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- B. Ms. Tucker is a Board Member of the Community Foundation of NW Florida ("CFNWF"), a non-profit organization, and may recommend the donor advised fund program to certain clients. Ms. Tucker receives no compensation for serving on the Board. Although Ms. Tucker has no requirement or incentive to recommend CFNWF to clients, the recommendation by Ms. Tucker that a client invest in the donor advised fund program could present a conflict of interest, as her affiliation could cause her to recommend the donor advised fund program over other investment options that could be more suitable for the client. No client is under any obligation to invest in the donor advised fund of CFNWF.
- C. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Ms. Tucker may receive a bonus based upon clients introduced to the Registrant. Accordingly, Ms. Tucker has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Ms. Tucker is supervised by Justin Snell.

Item 1 Cover Page

A.

Elliott Van Ness, CFA®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Elliott Van Ness that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Elliott Van Ness is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Elliott Van Ness was born in 1987. Mr. Van Ness graduated from Davidson College with a Bachelor of Arts in French and a minor in Economics. Mr. Van Ness has been employed with Waverly Advisors, LLC since April of 2024 and currently serves as a Partner and Director of Equity Research. From March of 2010 to April of 2024, he was Director of Research, Chief Compliance Officer, and Portfolio Manager at McShane Partners.

Mr. Van Ness is a CFA® Charterholder. CFA® designates an international professional certificate that is offered by the CFA Institute. The Chartered Financial Analyst® (CFA®) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals.

There are currently more than 178,000 CFA® Charterholders working in over 170 countries and regions. To earn the CFA® charter, candidates must: (1) pass three sequential, six-hour examinations; (2) have at least four years of qualified professional investment experience; (3) join CFA Institute as members; and (4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

High Ethical Standards

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA® Charterholders to:

- Place their clients' interests ahead of their own
- Maintain independence and objectivity
- Act with integrity
- Maintain and improve their professional competence
- Disclose conflicts of interest and legal matters

Global Recognition

Passing the three CFA exams is a difficult feat that requires extensive study (successful candidates report spending an average of 300 hours of study per level). Earning the CFA® charter demonstrates mastery of many of the advanced skills needed for investment analysis and decision making in today's quickly evolving global financial industry. As a result, employers and clients are increasingly seeking CFA® Charterholders —often making the charter a prerequisite for employment. Additionally, regulatory bodies in 38 countries/territories recognize the CFA® charter as a proxy for meeting certain licensing requirements, and more than 466 colleges and universities around the world have incorporated a majority of the CFA Program curriculum into their own finance courses.

Comprehensive and Current Knowledge

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Van Ness may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Van Ness has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Van Ness is supervised by Daniele Donahoe.

Item 1 Cover Page

A.

Hosler Lee Wall, III, CFP®, CPA®, PFS

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Hosler Lee Wall, III that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Hosler Lee Wall, III is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Hosler Lee Wall, III was born in 1960. Mr. Wall graduated from Florida Southern College in 1982 with a Bachelor of Science degree in accounting. Mr. Wall has been a Wealth Advisor and Partner of Waverly Advisors, LLC since December of 2022. From November 2013 to December 2022, Mr. Wall was President of Wall Advisors, Inc.

Mr. Wall is certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, he may refer to himself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and he may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Mr. Wall has held the designation of Certified Public Accountant ("CPA") since 1984. CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college [education](#) (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum [experience](#) levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two-year period or 120 hours over a three-year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous *Code of Professional Conduct* which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's *Code of Professional Conduct* within their state accountancy laws or have created their own. In addition to the *Code of Professional Conduct*, AICPA members who provide personal financial planning services are required to follow the *Statement on Standards in Personal Financial Planning Services* (SSPFPS).

Mr. Wall has held the designation of Personal Financial Specialist ("PFS") since 2002. The PFS credential demonstrates that an individual has met the minimum education, experience and testing required of a CPA in addition to a minimum level of expertise in personal financial planning. To attain the PFS credential, a candidate must hold an unrevoked CPA license, certificate, or permit, none of which are in inactive status; fulfill 3,000 hours of personal financial planning business experience; complete 75 hours of personal financial planning CPE credits; pass a comprehensive financial planning exam and be an active member of the AICPA. A PFS credential holder is required to adhere to AICPA's *Code of Professional Conduct* and the *Statement on Standards in Personal Financial Planning Services*, when providing personal financial planning services. To maintain their PFS credential, the recipient must complete 60 hours of financial planning CPE credits every three years. The PFS credential is administered through the [AICPA](#).

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. **Certified Public Accountant (CPA)**. Mr. Wall is a certified public accountant and Managing Member of Wall Titus, LLC, an accounting and consulting firm, and may recommend his tax preparation and/or accounting services. To the extent a client determines to engage Mr. Wall to provide tax preparation and/or accounting

services, such services shall be provided by Wall Titus, LLC, independent of Registrant. Registrant shall receive no portion of fees charged by Wall Titus, LLC for such services. The recommendation by Mr. Wall that a client elect his accounting services presents a **conflict of interest**, as the receipt of fees for accounting services may provide an incentive to recommend such services, rather than recommending such services based upon a particular client's needs. No client is under any obligation to utilize Wall Titus, LLC for accounting services. Clients are reminded that they may elect to obtain accounting services recommended by the Registrant through other non-affiliated certified public accountants.

Item 5 Additional Compensation

In addition to receiving compensation as a result of his employment with Wall Titus, LLC and a standard salary, Mr. Wall may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Wall has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Wall is supervised by Justin Russell.

Item 1 Cover Page

A.

Orlow Medwin Walstad, III, CFA®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Orlow Medwin Walstad, III that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Orlow Medwin Walstad, III is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Orlow Medwin Walstad, III was born in 1972. Mr. Walstad graduated from University of Georgia in 1995 with a Bachelor of Business Administration degree in finance, and from Emory University's Goizueta Business School in 2001 with a Master of Business Administration degree. Mr. Walstad has been a Partner and Wealth Advisor of Waverly Advisors, LLC since July of 2022. From June of 2015 to July of 2022, Mr. Walstad was a Partner and Investment Adviser of BT Wealth Management, LLC.

Mr. Walstad has been a CFA® Charterholder since 2000. CFA® designates an international professional certificate that is offered by the CFA Institute. The Chartered Financial Analyst® (CFA®) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals.

There are currently more than 178,000 CFA® Charterholders working in over 170 countries and regions. To earn the CFA® charter, candidates must: (1) pass three sequential, six-hour examinations; (2) have at least four years of qualified professional investment experience; (3) join CFA Institute as members; and (4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

High Ethical Standards

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA® Charterholders to:

- Place their clients' interests ahead of their own
- Maintain independence and objectivity
- Act with integrity
- Maintain and improve their professional competence
- Disclose conflicts of interest and legal matters

Global Recognition

Passing the three CFA exams is a difficult feat that requires extensive study (successful candidates report spending an average of 300 hours of study per level). Earning the CFA® charter demonstrates mastery of many of the advanced skills needed for investment analysis and decision making in today's quickly evolving global financial industry. As a result, employers and clients are increasingly seeking CFA® Charterholders—often making the charter a prerequisite for employment. Additionally, regulatory bodies in 38 countries/territories recognize the CFA® charter as a proxy for meeting certain licensing requirements, and more than 466 colleges and universities around the world have incorporated a majority of the CFA Program curriculum into their own finance courses.

Comprehensive and Current Knowledge

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. Mr. Walstad is a Board Member of Walstad Investment Counsel, Inc., an unaffiliated investment advisor firm registered in California and Georgia. Waverly Advisors, LLC and Walstad Investment Counsel, Inc. will not refer clients to each other, nor share clients, for investment advisory services. Thus, the potential conflict of interest presented by Mr. Walstad's affiliation with Walstad Investment Counsel, Inc. is mitigated. Clients will not be recommended to Walstad Investment Counsel, Inc.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Walstad may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Walstad has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Walstad is supervised by Scott Craig.

Item 1 Cover Page

A.

Kimberly McDonald Warner

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about Kimberly McDonald Warner that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Kimberly McDonald Warner is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Kimberly McDonald Warner was born in 1972. Ms. Warner attended Kennesaw State Community College in 1992 and the University of Alabama in 1993. Ms. Warner has been employed with Waverly Advisors, LLC since January of 2016 and currently serves as Annual Plan Review Specialist. From April of 2013 to January of 2016, she was employed as a benefits administrator of Warren Averett Benefit Consultants, LLC.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

A. The supervised person is not actively engaged in any other investment-related businesses or occupations.

B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Ms. Warner may receive a bonus based upon clients introduced to the Registrant. Accordingly, Ms. Warner has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Ms. Warner is supervised by Steven Causey.

Item 1 Cover Page

A.

James Allen Werner, CFP®

Waverly Advisors, LLC
ADV Part 2B, Brochure Supplement
Dated August 13, 2024

Contact: Markus R. F. Sleuwen, Chief Compliance Officer
600 University Park Place, Suite 501
Birmingham, Alabama 35209

B.

This Brochure Supplement provides information about James Werner that supplements the Waverly Advisors, LLC Brochure; you should have received a copy of that Brochure. Please contact Markus R. F. Sleuwen, Chief Compliance Officer, if you did *not* receive Waverly Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about James Werner is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

James Werner was born in 1969. He graduated from the University of Texas at Austin in 1992 with a Bachelor of Business Administration in Finance and Economics. Mr. Werner has been employed with Waverly Advisors, LLC since June of 2023 and currently serves as Wealth Advisor. From October of 2012 to June of 2023, Mr. Werner was a Partner and Investment Adviser of Silicon Hills Wealth Management, LLC.

Mr. Werner is certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, he may refer to himself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and he may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

In addition to receiving a standard salary, Mr. Werner may receive a bonus based upon clients introduced to the Registrant. Accordingly, Mr. Werner has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Markus R. F. Sleuwen, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Sleuwen at (205) 871-3334.

Mr. Werner is supervised by Tom Brown.